

City of Lawrence CDBG/HOME Programs Grant Application

Revised 8/2016

Organization Name:		City of Lawrence Planning and Development Services – Community Development Division			
Mailing Address:		1 Riverfront Plaza, Suite 110, Lawrence, KS 66044			
Agency Address (if different than above):					
DUNS Number:	030692461				
Contact Name:	Danelle Dresslar			Phone:	785-832-3108
Contact Title: Community Development Manager					
Email: ddresslar@lawrenceks.org				Fax:	785-832-3110
<p>Organization Mission Statement:</p> <p>To administer the CDBG and HOME grants as well as provide programs for affordable housing for low and moderate income persons. To provide technical assistance as needed to neighborhood associations and public service agencies. To provide affordable housing to low and moderate income persons, promote neighborhood revitalization and community development.</p>					
Proposed Program or Activity Name: Administration					
Proposed Program Address: 1 Riverfront Plaza, Suite 110, Lawrence, KS 66044					
<p>Brief summary of Proposed Program or Activity:</p> <p>Administration – Community Development Division staff is charged with all administration of the CDBG and HOME funds that flow from the federal government to the City as an entitlement. This includes the development of the annual Action Plans, the three-five year Consolidated Plans, the Assessment of Fair Housing, the Citizen Participation Plan, Environmental Review, Consolidated Annual Performance and Evaluation Report and many others. It also includes monitoring subrecipients to ensure appropriate use and documentation of federal funds. \$136,017 is for CDBG; \$35,000 is for HOME. See attached administration budget.</p>					
Funding Requested:		\$ 171,017			
Request is:	100%	% of project budget and	100%	% of organization budget	
Which National Objective will be met? (Page 3 above)			Benefitting Low- and Moderate (LMI) Persons		
Which Local Priority will be met? (Page 8 above)			All the priorities listed on Page 8.		
<p>Certification:</p> <p>"I certify that I have reviewed this application and that, to the best of my knowledge and belief, all of the information provided is true and correct. I also certify that I am officially authorized to represent the submitting organization by its governing board in the filing of this application."</p>					
Signature:				Date:	
Print Name and Title: Danelle Dresslar, Community Development Manager					
FOR COMMUNITY DEVELOPMENT STAFF USE ONLY					
Date Received: 12/1/16		Application :	Complete <input checked="" type="checkbox"/>	Incomplete <input type="checkbox"/>	
Received by: D. Dresslar					

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Grant Application**

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Method Received:	Mail <input type="checkbox"/>	Hand Delivery <input type="checkbox"/>	Electronic <input checked="" type="checkbox"/>
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I. Narrative

Please use attachments to answer the following questions in the order presented. If you are requesting funds for more than one program or activity, please address the following questions for each one. Limit your total response to four pages, using standard one-inch margins, single-spacing and 12-point font.

1. What is the situation, problem or opportunity this grant will address?

Lawrence neighborhoods are involved in an ongoing struggle to retain owner-occupants. According to the 2009-2011 American Community Survey three-year estimates, only 50.6 % of housing units were owner-occupied. Maintaining a balance of renter and owner-occupied housing in neighborhoods is essential to their health and vitality and it is becoming increasingly challenging as the demand for rental units near the University of Kansas grows. Additionally, the high costs associated with entering into, and maintaining, home ownership in these neighborhoods can be a barrier and a challenge for people with low-moderate income. This problem is widely recognized and routinely discussed by neighborhood groups and city advisory boards.

Community Development programs, including the partnership with the Lawrence Community Land and Housing Trust, currently provide the broadest and most comprehensive methods of increasing and/or sustaining owner-occupancy rates. The data suggest that the balance is fragile, and the Department feels that a greater investment must be made in order to continue to work toward a healthier balance of owner versus renter-occupied units. As a result, the shifting of resources from this growing problem will cause a further loss of owner-occupied units in the Lawrence community.

2. How will this grant support the mission of the organization?

Comprehensive Housing Rehab prevents deterioration of existing structures that are already owner-occupied. Homeowners with low-moderate income often cannot afford to make necessary improvements to their homes, which leads to deterioration of the properties. Bringing a home up to rehab standards at a minimal cost to homeowners extends the life of existing housing stock and allows homeowners to remain in their homes longer.

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The weatherization program is a grant program for low-moderate income homeowners, which improves the energy efficiency of homes and thus increasing the affordability of the housing.

Emergency and furnace loans are no-interest, deferred-payment loans that allow homeowners to make emergency repairs such as electrical, plumbing or roofing and to replace failing furnaces with newer, energy-efficient systems.

Each of these programs directly supports the mission of providing affordable housing opportunities for people with low and moderate incomes by helping to maintain affordability of owner-occupied homes. Keeping homeowners in their homes and improving the appearance and functionality of existing housing stock helps to accomplish the general goal of revitalizing neighborhoods. The more units that can be brought to rehab standards, repaired or weatherized, the greater impact neighborhoods will feel.

The First Time Homebuyer Program, which is administered in partnership with Tenants to Homeowners and the Lawrence Community Land and Housing Trust, offers low-moderate income people an opportunity for homeownership. The program provides up to \$25,000 in down payment and closing costs for qualifying individuals and up to \$25,000 in rehab costs. With the Land Trust model subsidies are retained and ensure that affordable housing stock remains affordable far into the future. This program accomplishes affordable housing goals as well as neighborhood revitalization goals.

3. How many people will be served by this grant and what percentage are low-moderate income (describe method of verification)?

With the comprehensive rehab, weatherization, emergency and furnace loan programs, 80 low-moderate income homeowners will be assisted. With the homebuyer rehab and homebuyer programs, 16 low-moderate income people will be assisted in obtaining home ownership. 100% of people served by the grant will be low-moderate income. Verification is obtained by extensive review of income and financial records.

4. What resources are currently available to dedicate to the program or activity, including staff, volunteers, existing funds and community partners?

CD Staff includes an Assistant Director, which is funded at 10%; one Manager position, which is funded 100% from the grants; one Senior

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Project specialist which carries out all rehab and weatherization activities, funded 100% from the grants, one CDBG Program Analyst who facilitates the citizen participation plan and reporting requirements, funded 100% from the grant.

5. How is this approach to the issue unique or collaborative and what gives it a high likelihood of success?

The Department's programs are the only programs in the city aimed at maintaining and improving housing stock for low and moderate-income homeowners. The Department's programs are the only ones in the city aimed at promoting homeownership for residents with low and moderate income. For the first-time homebuyer program, the Department partners with the local non-profit Tenants to Homeowners and the Lawrence Community Land and Housing Trust. The Department has administered these programs successfully for many years. These programs are the backbone of the CDBG and HOME program for the City of Lawrence and have been for over 20 years.

6. How will success be measured and how will you continue to fund this project once grant funds are expended?

The Department, and city government at-large, has implemented a performance measurement system that will be helpful in assessing the effectiveness of the programs. Effectiveness measures include: percentage of respondents rating the livability of their neighborhood as good or excellent, percentage of respondents satisfied with the general upkeep of their neighborhood, percent change in median assessed value.

7. What is the organization's timeline for achieving the objectives of the grant?

The Department intends to achieve grant objectives within the 2017 program year.

8. What other funding sources have been approached and what have the responses been?

The Department's mission and purpose is to administer the CDBG and HOME funds that the City receives as an entitlement community. The City General Fund contributes a small percentage towards outreach activities, but CDBG and HOME funds are the division's main source of funding. To complete the housing rehabilitation programs there is no other funding source available to municipal governments.

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II. Program/Activity Budget

Neighborhood Associations - Please provide an itemized budget detailing all association expenses on the attached Neighborhood Budget template.

Agencies – Please provide an itemized budget detailing all program/activity expenses on the attached Proposed Project/Activity Budget template.

Any proposal containing repair, rehabilitation, improvements, construction, demolition, acquisition or disposition of real property must include a detailed description including:

- project location and scope
- line-item budget
- bid proposals including labor and materials
- timeline for program/activity

A. Personnel Services (Salaries and Fringe) - \$103,767– CDBG; \$35,000 - HOME
(Assistant Director – 5%, CDBG Program Analyst – 60%, Manager 50%)

B. Miscellaneous

1. Office Rent/Utilities	\$29,000
2. Conferences and Seminars	50
3. Dues and Subscriptions	100
4. Office Equipment and Repair	250
5. Office Supplies	250
6. Computer Hardware and Software	1,500
7. Capital Outlay - Furniture	0
8. Citizen Participation	1,000
9. Fair Housing	100

Total Miscellaneous: \$32,250

TOTAL ADMINISTRATION – CDBG - \$136,017; HOME - \$35,000

Assistant Director 5% paid from CDBG/HOME Admin; 95% paid from General Fund

Comm. Dev. Manager 60% paid from CDBG/HOME Admin; 25% from Program Delivery, 15% from General Fund.

CDBG Programs Analyst 60% paid from CDBG Administration; 20% from Program Delivery, 20% from General Fund.

Projects Specialist –1 No administrative costs – charged to CDBG Program Delivery.

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Mailing Address:	1 Riverfront Plaza, Suite 110, Lawrence, KS 66044		
Agency Address (if different than above):			
DUNS Number:	030692461		
Contact Name:	Danelle Dresslar	Phone:	785-832-3108
Contact Title: Community Development Manager			
Email: ddresslar@lawrenceks.org	Fax:	785-832-3110	
<p>Organization Mission Statement:</p> <p>To administer the CDBG and HOME grants as well as provide programs for affordable housing for low and moderate income persons. To provide technical assistance as needed to neighborhood associations and public service agencies. To provide affordable housing to low and moderate income persons, promote neighborhood revitalization and community development.</p>			
Proposed Program or Activity Name: Housing Programs for Low-income Households.			
Proposed Program Address: Various addresses within the Lawrence city limits.			
<p>Brief summary of Proposed Program or Activity:</p> <p>Comprehensive Rehabilitation - All of the Department's programs work towards the goal of strengthening neighborhoods by improving the quality of housing stock and by making new or continued homeownership a possibility for low- and moderate- income residents of the City of Lawrence. The Comprehensive Rehabilitation Program provides up to \$25,000 to bring owner-occupied homes to rehab standards. Roughly \$150,000 is set aside for program delivery of all department administered and capital improvement projects.</p> <p>First Time Homebuyer Rehab - All of the Department's programs work towards the goal of strengthening neighborhoods by improving the quality of housing stock and by making new or continued homeownership a possibility for low- and moderate- income residents of the City of Lawrence. The homebuyer rehab program provides up to \$25,000 for any necessary rehab of homes being purchased by low- or moderate- income homebuyers through the Land Trust Program.</p> <p>Weatherization - All of the Department's programs work towards the goal of strengthening neighborhoods by improving the quality of housing stock and by making new or continued homeownership a possibility for low- and moderate- income residents of the City of Lawrence. The weatherization program provides small grants to improve the energy efficiency of owner-occupied homes.</p> <p>Emergency & Furnace Loans - All of the Department's programs work towards the goal of strengthening neighborhoods by improving the quality of housing stock and by making new or continued homeownership a possibility for low- and moderate- income residents of the City of Lawrence. The emergency and furnace loan program provides up to \$5,000 for owner-occupants to make emergency repairs and to replace failing furnaces.</p>			

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Funding Requested:		\$ 500,000		
Request is:	100%	% of project budget and	100%	% of organization budget
Which National Objective will be met? (Page 3 above)			Benefitting Low- and Moderate (LMI) Persons	
Which Local Priority will be met? (Page 8 above)			All the priorities listed on Page 8.	
Certification: "I certify that I have reviewed this application and that, to the best of my knowledge and belief, all of the information provided is true and correct. I also certify that I am officially authorized to represent the submitting organization by its governing board in the filing of this application."				
Signature:				Date:
Print Name and Title: Danelle Dresslar, Community Development Manager				
FOR COMMUNITY DEVELOPMENT STAFF USE ONLY				
Date Received: 12/1/16	Application :	Complete <input checked="" type="checkbox"/>	Incomplete <input type="checkbox"/>	
Received by: D. Dresslar				
Method Received:	Mail <input type="checkbox"/>	Hand Delivery <input type="checkbox"/>	Electronic <input checked="" type="checkbox"/>	

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renter-occupied units. As a result, the shifting of resources from this growing problem will cause a further loss of owner-occupied units in the Lawrence community.

2. How will this grant support the mission of the organization?

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The weatherization program is a grant program for low-moderate income homeowners, which improves the energy efficiency of homes and thus increasing the affordability of the housing.

Emergency and furnace loans are no-interest, deferred-payment loans that allow homeowners to make emergency repairs such as electrical, plumbing or roofing and to replace failing furnaces with newer, energy-efficient systems.

Each of these programs directly supports the mission of providing affordable housing opportunities for people with low and moderate incomes by helping to maintain affordability of owner-occupied homes. Keeping homeowners in their homes and improving the appearance and functionality of existing housing stock helps to accomplish the general goal of revitalizing neighborhoods. The more units that can be brought to rehab standards, repaired or weatherized, the greater impact neighborhoods will feel.

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3. How many people will be served by this grant and what percentage are low-moderate income (describe method of verification)?

With the comprehensive rehab, weatherization, emergency and furnace loan programs, 80 low-moderate income homeowners will be assisted. With the homebuyer rehab and homebuyer programs, 16 low-moderate income people will be assisted in obtaining home ownership. 100% of people served by the grant will be low-moderate income. Verification is obtained by extensive review of income and financial records.

4. What resources are currently available to dedicate to the program or activity, including staff, volunteers, existing funds and community partners?

CD Staff includes an Assistant Director, which is funded at 10%; one Manager position, which is funded 100% from the grants; one Senior Project specialist which carries out all rehab and weatherization activities, funded 100% from the grants, one CDBG Program Analyst who facilitates the citizen participation plan and reporting requirements, funded 100% from the grant.

5. How is this approach to the issue unique or collaborative and what gives it a high likelihood of success?

The Department's programs are the only programs in the city aimed at maintaining and improving housing stock for low and moderate-income homeowners. The Department's programs are the only ones in the city aimed at promoting homeownership for residents with low and moderate income. For the first-time homebuyer program, the Department partners with the local non-profit Tenants to Homeowners and the Lawrence Community Land and Housing Trust. The Department has administered these programs successfully for many years. These programs are the backbone of the CDBG and HOME program for the City of Lawrence and have been for over 20 years.

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7. What is the organization's timeline for achieving the objectives of the grant?

The Department intends to achieve grant objectives within the 2017 program year.

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II. Program/Activity Budget

Neighborhood Associations - Please provide an itemized budget detailing **all association expenses** on the attached Neighborhood Budget template.

Agencies – Please provide an itemized budget detailing **all program/activity expenses** on the attached Proposed Project/Activity Budget template.

Any proposal containing repair, rehabilitation, improvements, construction, demolition, acquisition or disposition of real property must include a detailed description including:

- project location and scope
- line-item budget
- bid proposals including labor and materials
- timeline for program/activity

Approximate expenditures for each program:

Weatherization - \$35,000

Emergency/Furnace Loans - \$90,000

Comprehensive Rehabilitation/First Time Homebuyer Rehab/Delivery of Programs - \$375,000

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Organization Name:		Independence, Inc.			
Mailing Address:		2001 Haskell Avenue, Lawrence, KS 66046			
Agency Address (if different than above):					
DUNS Number:	039814231				
Contact Name:	Nabila Formusoh		Phone:	(785) 841-0333 ext. 145	
Contact Title: Independent Living Specialist					
Email: Nformusoh@independenceinc.org			Fax:	(785) 841-1094	
Organization Mission Statement: To maximize the independence of people with disabilities through advocacy, peer support, training, transportation and community education.					
Proposed Program or Activity Name: Independence, Inc. Accessible Housing Program					
Proposed Program Address: 2001 Haskell Avenue, Lawrence, KS 66046					
Brief summary of Proposed Program or Activity: The Accessible Housing Program proposes to assist renters with low/moderate income to make needed accessibility modifications in their housing. Examples are: constructing entrance ramps; widening doorways, installing accessible showers; installing accessible sinks, toilets, and grab bars. These modifications improve an individual's ability to live independently in his/her home.					
Funding Requested:		\$33,000			
Request is:	100	% of project budget and	1.40	% of organization budget	
Which National Objective will be met? (Page 3 above)			Benefiting Low- and Moderate- Income (LMI) Persons		
Which Local Priority will be met? (Page 8 above)			Has a high benefit to low-income persons, provides a housing benefit as described in the "Step Up to Better Housing" strategy, and provides services that respond to critical, identifiable and unmet needs.		
<p>Certification: "I certify that I have reviewed this application and that, to the best of my knowledge and belief, all of the information provided is true and correct. I also certify that I am officially authorized to represent the submitting organization by its governing board in the filing of this application."</p>					
Signature: <i>Nabila Formusoh</i>				Date: 12/1/2016	
Print Name and Title: Nabila Formusoh, Independent Living Specialist					
FOR COMMUNITY DEVELOPMENT STAFF USE ONLY					
Date Received: 12/1/16		Application :	Complete <input checked="" type="checkbox"/>	Incomplete <input type="checkbox"/>	
Received by: D. Dresslar					
Method Received:		Mail <input type="checkbox"/>	Hand Delivery <input type="checkbox"/>	Electronic <input checked="" type="checkbox"/>	

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I. Narrative

Please use attachments to answer the following questions in the order presented. If you are requesting funds for more than one program or activity, please address the following questions for each one. Limit your total response to four pages, using standard one-inch margins, single-spacing and 12-point font.

1. What is the situation, problem or opportunity this grant will address?

The majority of affordable rental houses and apartments in Lawrence are not accessible due to the traditional building practices of constructing steps at the entrance, narrow bathroom doorways, and bathrooms that lack accessibility features in the sink, vanity, toilet, bathtub and shower. Many individuals with disabilities, with low to moderate incomes, need grant assistance in order to pay for essential accessibility modifications.

The Fair Housing Act gives people with disabilities the right to make reasonable accessibility modifications in rental housing, however, it does not require the owner or landlord to pay for any accessibility modifications needed by a tenant with a disability.

2. How will this grant support the mission of the organization?

The Accessible Housing Program (AHP) enables individuals with disabilities to live in affordable and accessible housing that's integrated throughout the community. The mission of Independence, Inc. is "To maximize the independence of people's with disabilities through advocacy, peer support, training, transportation and community education."

3. How many people will be served by this grant and what percentage are low-moderate income (describe method of verification)?

Approximately six to eight individuals with a disability will be assisted through this program. One hundred percent of the recipients will be people with low to moderate incomes. Income will be verified during the application process. The first page of the AHP application form is the "Lawrence, Kansas/CDBG/Home Program Eligibility Certification" created by the City of Lawrence Planning and Development Services. The applicant is required to provide documentation of income for all adult members of the household. This statement appears on the first page of the application: "When returning application, enclose documentation of income of all adults 18 and over living

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in the household.” There is also a reminder that if applicants misrepresent any of the information provided that it may constitute fraud.

4. What resources are currently available to dedicate to the program or activity, including staff, volunteers, existing funds and community partners?

The Accessible Housing Program will be coordinated by Nabila Formusoh, Independent Living Specialist. Since the 2016 CDBG fiscal year that began on Aug. 1, 2016, one AHP project has been completed and one application has been approved by the Development Services Division with the purchase order issued to the contractor by the City of Lawrence, committing \$15,639.80 of 2016 funds. This leaves a \$17,360.20 balance for the current fiscal year that ends in July 2017.

A consumer is being assisted in applying for AHP to install two grab bars and another consumer is applying for low rise steps with handrails on both sides. These applicants have been determined eligible, so with these projects and other applications that are likely to arrive before the end of July 2017, we expect all AHP funds will be utilized. Other individuals with disabilities and low incomes that are needing accessibility modifications will be assisted through other funding sources.

Referrals from community partners include Lawrence Memorial Hospital, Jayhawk Area Agency on Aging, Douglas County Visiting Nurses Association, Douglas County Senior Center, Cottonwood Inc., Kansas Department for Children and Families, Kansas Rehabilitation Services, physicians and physical therapists.

5. How is this approach to the issue unique or collaborative and what gives it a high likelihood of success?

Since the Fair Housing Amendments Act of 1988 became law, the Independence, Inc. Accessible Housing Program has been assisting people with disabilities in Lawrence, Kansas with essential accessibility modifications in their rental housing. The vast majority of individuals/families assisted through this program have been people with very low incomes, without capacity to qualify for a loan.

In addition to the Accessible Housing Program, we provide similar services including technical assistance to homeowners and businesses planning accessibility improvements in new or existing housing and facilities. Home modifications services are also provided to individuals with disabilities who

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have KanCare (Medicaid) coverage, and other individuals through the statewide Independence, Inc. Assistive Technology Grant Program.

6. How will success be measured and how will you continue to fund this project once grant funds are expended?

The Accessible Housing Program will measure success according to goals that are consistent with the Step Up to Better Housing Strategies and funding priorities for 2017.

Our goal is to assist six to eight people with disabilities with low to moderate incomes make needed accessibility modifications in their rental housing in Lawrence, Kansas. The vast majority are expected to be people with low or very low incomes. The project is ongoing because accessible, universal design is not a standard practice in housing construction, and private sector landlords are not required by the Fair Housing Act to contribute to the cost of making needed accessibility modifications.

7. What is the organization's timeline for achieving the objectives of the grant?

If grant funds are awarded, because of the level of need, Accessible Housing Program funds are expected to be utilized within the fiscal year.

The project is ongoing because accessible, universal design is not a standard practice that's applied in most newly constructed housing. The level of need is great. Medical advances have contributed to people with disabilities living longer and most senior citizens want to age in place and continue living in their home.

8. What other funding sources have been approached and what have the responses been?

Other funding sources utilized for accessibility modifications by people with disabilities include: KanCare Managed Care Organizations, Assistive Technology for Kansans, Independence, Inc. Assistive Technology Grant Program, United Cerebral Palsy of Kansas, and Friends of Man. Response has been positive when funds are available.

II. Program/Activity Budget

A one-page budget for the program is attached.

Proposed Project/Activity Budget

Independence, Inc. Accessible Housing Program (AHP): Proposed 2017 Budget

Funding Type: Capital Improvement

Budget Items	Explanation	CDBG Funds	Other Funds	Total Funds
Independence, Inc.				
Core Services	Program Delivery, 10% that goes into the Core Services department to support core independent living services provided to individuals with disabilities.	3,000		3,000
				-
				-
				-
				-
				-
Accessibility Modifications	Direct costs of accessibility modifications for eligible recipients, approved by the City of Lawrence, Development Services Division.	30,000		30,000
				-
				-
				-
	CDBG funds will be utilized as needed. For example: an entrance ramp; grab bars in tub, shower or next to the toilet; accessible height toilets; accessible sinks with knee clearance; roll-in showers.			-
				-
				-
				-
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				-
				-
				-
				-
				-
				-
TOTALS		33000	0	33000



**Independence, Inc.
BOARD OF DIRECTORS 2016-17**

NAME (BOARD OFFICE) OCCUPATION	ADDRESS	PHONE/EMAIL	TERM EXPIRES
Hause, Nancy Kansas State University Professor of Journalism, Retired	3709 Gunnison Dr. Lawrence, Kansas 66049	Home 785-841-3379 Cell 785-424-4766 nrhause@gmail.com	Sept. 2019 (first term)
HIGHBERGER, Boog Attorney, Highberger & Ananda, LLC	1024 New York, Lawrence, Kansas 66044	Cell 785-424-3262 mrboog@att.net	Oct. 2018 (first term)
JOHNSON, Susan Douglas County Extension Agent - Nutrition, Health and Wellness	1208 N. 650 Road, Baldwin, KS 66006	Day 785-843-7058 Cell 785-766-4091 susanjohnson@ksu.edu	Oct. 2017 (first term)
MANIES, Terry Freelance Writer, ideal Strategies	370 n. 1700 Road, Lecompton, KS 66050	Cell 785-550-7286 tfing3705@gmail.com	Sept. 2018 (first term)
MOTSINGER, Angela CPA, Motsinger CPA Tax & Accounting, LLC	PO Box 4206, Lawrence, KS 66046	Day 785-749-5051 angela@motsingercpa.com	Nov. 2018 (first term)
PASSMAN, Bruce (President) Consultant/ Adjunct Professor KU School of Education	4120 W. 13 th Lawrence, KS 66046	Cell 785-393-0692 brucepassman@gmail.com	June 2018 (second term)
YOUNG, Deb Regional Special Education Director Greenbush	1105 Andover St. Lawrence, KS 66049	Cell 785-766-9324 deb.young@greenbush.org	Sept. 2017 (first term)
Stillings, Seth (Vice President) Lead Generation Specialist, Treat America Food Services	2506 University Dr. Lawrence, KS 66049	Cell 913-426-1311 sethstillings@hotmail.com	Sept. 2017 (first term)

INDEPENDENCE, INC.

FINANCIAL STATEMENTS
SEPTEMBER 30, 2016
TOGETHER WITH REPORT OF ACCOUNTANTS' COMPILATION

INDEPENDENCE, INC.

**FINANCIAL STATEMENTS
SEPTEMBER 30, 2016**

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Independent Accountants' Compilation Report

Independence, Inc.
Lawrence, KS

Management is responsible for the accompanying financial statements of Independence, Inc. (a nonprofit organization), which comprise the statement of financial position as of September 30, 2016, and the related statements of activities for the year then ended in accordance with accounting principles generally accepted in the United States of America. We have performed a compilation engagement in accordance with Statements on Standards for Accounting and Review Services promulgated by Accounting and Review Services Committee of the AICPA. We did not audit or review the financial statements nor were we required to perform any procedures to verify the accuracy or completeness of the information provided by management. Accordingly, we do not express an opinion, a conclusion, nor provide any form of assurance on these financial statements.

Management has elected to omit substantially all of the disclosures and the statement of cash flows required by accounting principles generally accepted in the United States of America. If the omitted disclosures and statement of cash flows were included in the financial statements, they might influence the user's conclusions about the Organization's financial position, results of activities and cash flows. Accordingly, the financial statements are not designed for those who are not informed about such matters.

The supplementary information contained in pages 6-20 is presented for purposes of additional analysis and is not a required part of the basic financial statements. This information is the representation of management. The information was subject to our compilation engagement, however we have not audited or reviewed the supplementary information and, accordingly, do not express an opinion, a conclusion, nor provide any form of assurance on such supplementary information.

Summers, Spencer & Company P.A.

Summers, Spencer & Company, P.A.
Lawrence, KS
November 10, 2016

INDEPENDENCE, INC.
STATEMENTS OF FINANCIAL POSITION

	<u>September 30, 2016</u>	<u>August 31, 2016</u>	<u>September 30, 2015</u>
ASSETS			
Current Assets			
Operating Accounts	\$ 1,093,774	\$ 1,127,235	\$ 992,278
Accounts Receivable	168,659	150,945	171,566
Allowance for Doubtful Accounts	(4,022)	(4,022)	(4,022)
Employee Advances	-	-	2,061
Prepaid Expenses	21,916	34,301	18,749
Total Current Assets	<u>1,280,327</u>	<u>1,308,459</u>	<u>1,180,632</u>
Property, Plant and Equipment			
Land	204,139	204,139	204,139
Building	1,440,099	1,440,099	1,440,099
Furniture, Fix. & Equip	228,350	228,350	228,350
Vehicles	155,476	207,055	207,055
Donated Equipment	7,453	7,453	7,453
Less Accumulated Depreciation	(953,183)	(976,845)	(897,836)
Net Property, Plant and Equipment	<u>1,082,334</u>	<u>1,110,251</u>	<u>1,189,260</u>
Other Assets			
Beneficial Interest in DCCF	357,461	347,977	325,237
TOTAL ASSETS	<u>\$ 2,720,122</u>	<u>\$ 2,766,687</u>	<u>\$ 2,695,129</u>
LIABILITIES & NET ASSETS			
Liabilities			
Accounts Payable	\$ 18,715	\$ 33,096	\$ 11,215
Payroll Liabilities	12,806	13,674	11,881
Accrued Vacation	31,311	31,596	31,596
Accrued Payroll	121,279	139,464	127,257
Total Liabilities	<u>184,111</u>	<u>217,830</u>	<u>181,949</u>
Net Assets			
Unrestricted Net Assets	2,303,146	2,295,040	2,235,058
Temporarily Restricted Net Assets	232,865	253,817	278,122
Total Net Assets	<u>2,536,011</u>	<u>2,548,857</u>	<u>2,513,180</u>
TOTAL LIABILITIES & NET ASSETS	<u>\$ 2,720,122</u>	<u>\$ 2,766,687</u>	<u>\$ 2,695,129</u>

INDEPENDENCE, INC.

STATEMENT OF ACTIVITIES, BUDGET vs. ACTUAL, UNRESTRICTED
COMBINED

	Month Ended September 30, 2016				Year Ended September 30, 2016			
	Actual	Budget	Variance	Percent	Actual	Budget	Variance	Percent
Revenues								
Medicaid Reimbursements	132,514	148,460	(15,946)	-10.7%	1,726,969	1,604,329	122,640	7.6%
Money Follows the Person	(1,551)	-	-	0.0%	4,894	-	4,894	0.0%
Federal Title VII	16,279	16,824	(545)	-3.2%	198,086	181,805	16,281	9.0%
KS Title VII	5,532	5,975	(443)	-7.4%	72,474	64,571	7,903	12.2%
KDOT 5310	-	-	-	0.0%	5,000	5,000	-	0.0%
KDOT 5311	4,931	4,053	878	21.6%	65,626	43,803	21,823	49.8%
Accessible Housing Program	-	255	(255)	-100.0%	3,477	2,753	724	26.3%
Douglas County Grant	17,917	18,260	(343)	-1.9%	214,998	197,329	17,669	9.0%
YEP Grant	-	-	-	0.0%	-	-	-	100.0%
Client Obligations	4,127	3,227	900	27.9%	36,427	34,877	1,550	4.4%
Medicaid Rides	-	1,529	(1,529)	0.0%	5,419	16,521	(11,102)	100.0%
Consumer Rides	2,442	2,208	234	10.6%	26,839	23,863	2,976	12.5%
Private Insurance (Homelink)	374	-	374	0.0%	5,576	-	5,576	0.0%
United Way	1,066	4,169	(3,103)	0.0%	25,167	21,038	4,129	0.0%
Donations	-	1,868	(1,868)	-100.0%	14,514	20,192	(5,678)	-28.1%
Office Rentals	1,825	1,667	158	9.5%	25,325	18,333	6,992	38.1%
Interest	71	100	(29)	-29.0%	812	1,100	(288)	-26.2%
Misc Income	-	-	-	0.0%	4,183	-	4,183	0.0%
Equipment Loan Donations	658	-	658	0.0%	1,801	-	1,801	0.0%
Brailling Income	-	-	-	0.0%	70	-	70	0.0%
Fundraisers	-	255	(255)	-100.0%	825	2,753	(1,928)	-70.0%
Pass Admin Fee	10,810	10,616	194	1.8%	131,560	114,726	16,834	14.7%
Total Revenues	196,995	219,468	(22,473)	-10.2%	2,570,042	2,352,993	217,049	9.2%

INDEPENDENCE, INC.

STATEMENT OF ACTIVITIES, BUDGET vs. ACTUAL, UNRESTRICTED
COMBINED

	Month Ended September 30, 2016				Year Ended September 30, 2016			
	Actual	Budget	Variance	Percent	Actual	Budget	Variance	Percent
Expenses								
PASS Salaries	111,600	125,312	(13,712)	-10.9%	1,450,862	1,354,179	96,683	7.1%
Independence Salaries	33,956	34,723	(767)	-2.2%	423,026	381,949	41,077	10.8%
YEP Salaries	1,066	868	198	22.8%	7,349	9,383	(2,034)	-21.7%
Indy Payroll Taxes	2,508	2,656	(148)	-5.6%	31,055	29,219	1,836	6.3%
Pass Payroll Taxes	8,614	9,586	(972)	-10.1%	113,371	103,595	9,776	9.4%
YEP Payroll Taxes	-	66	(66)	-100.0%	-	718	(718)	-100.0%
Payroll Exp - Other	56	49	7	0.0%	600	529	71	0.0%
Health Insurance	6,151	6,552	(401)	-6.1%	71,858	72,069	(211)	-0.3%
KS Title VII Strategic Plan	-	1,274	-	-	-	13,767	(13,767)	-100.0%
Professional Costs	-	428	(428)	-100.0%	280	4,629	(4,349)	-94.0%
Workers Compensation	2,883	4,077	(1,194)	-29.3%	42,708	44,055	(1,347)	-3.1%
Unemployment Expense	3,459	3,842	(383)	-10.0%	41,505	41,522	(17)	0.0%
Conferences and Workshops	403	-	403	0.0%	1,563	-	1,563	0.0%
Contract Services	-	1,329	(1,329)	-100.0%	-	14,362	(14,362)	-100.0%
Program Expense	471	3,402	(2,931)	-86.2%	14,607	36,768	(22,161)	-60.3%
Staff Travel Expense	1,808	960	848	88.4%	8,937	10,373	(1,436)	-13.8%
Dues and Memberships	30	269	(239)	-88.9%	701	2,912	(2,211)	-75.9%
Board of Directors	-	-	-	0.0%	4,387	-	4,387	0.0%
Utilities	1,928	1,137	791	69.6%	16,666	12,282	4,384	35.7%
Lawn & Parking Areas	245	301	(56)	0.0%	3,640	3,249	391	0.0%
Janitorial	727	586	141	0.0%	7,624	6,337	1,287	0.0%
Maintenance	1,133	770	363	47.2%	12,977	8,316	4,661	56.0%
Office Supplies	663	342	321	94.1%	3,738	3,692	46	1.3%
Equipment Lease	452	542	(90)	-16.6%	6,686	5,854	832	14.2%
Shredding	35	-	35	0.0%	420	-	420	0.0%
Postage	50	90	(40)	-44.6%	844	976	(132)	-13.5%
Telephone	897	629	268	42.6%	10,404	6,800	3,604	53.0%
Business Insurance	2,050	1,779	271	15.2%	25,072	19,229	5,843	30.4%
Bank & Financial Fees	888	281	607	215.5%	4,120	3,042	1,078	35.4%
Publications	-	-	-	0.0%	16	-	16	0.0%
Development	-	77	(77)	-100.0%	-	837	(837)	-100.0%
Events	-	-	-	0.0%	425	-	425	-
Public Relations	80	1,412	(1,332)	-94.3%	7,581	15,256	(7,675)	-50.3%
Staff Expense	14	2,136	(2,122)	-99.3%	792	23,086	(22,294)	-96.6%
IT Contract services	1,186	-	1,186	0.0%	13,216	-	13,216	0.0%
Legal Expense	-	-	-	0.0%	-	-	-	0.0%
Accounting Expense	2,957	3,567	(610)	-17.1%	28,841	38,548	(9,707)	-25.2%
Auditing Expense	-	-	-	0.0%	8,250	-	8,250	100.0%
Vehicle Maintenance	404	1,445	(1,041)	-72.0%	6,146	15,616	(9,470)	-60.6%
Fuel	1,205	1,735	(530)	-30.5%	14,633	16,749	(4,116)	-22.0%
Internet	106	308	(202)	-65.6%	1,853	3,332	(1,479)	-44.4%
Fees & Penalties	35	-	-	0.0%	315	-	315	0.0%
Misc Expense	-	142	(142)	0.0%	69	1,538	(1,469)	0.0%
Background Checks	500	-	500	0.0%	5,278	-	5,278	0.0%
Client Obligations	4,127	3,227	900	27.9%	36,272	34,877	1,395	4.0%
Radios for Vans	511	-	511	0.0%	3,929	-	3,929	0.0%
Marketing	425	-	425	0.0%	2,483	-	2,483	0.0%
Disability Mentoring Day	-	-	-	0.0%	57	-	57	0.0%
Total Expense	193,623	215,902	(21,041)	-9.7%	2,435,156	2,341,642	93,514	4.0%
Operating Revenue	3,372	3,565	(193)	94.6%	134,886	11,351	123,535	1088.4%
Other (Income)/Expense								
Depreciation Expense	7,098	5,842	1,256	21.5%	75,909	64,258	11,651	18.1%
Loss on disposal of asset	-	-	-	0.0%	23,114	-	-	0.0%
(Gain)/Loss on Investments	(9,485)	-	(9,485)	0.0%	(32,225)	-	(32,225)	0.0%
Total Other (Income)/Expense	(2,387)	5,842	(8,229)	-140.9%	66,798	64,258	(2,540)	-32.0%
Change in net assets	5,759	(2,276)	8,035	-353.0%	68,088	(52,908)	120,996	-228.7%

INDEPENDENCE, INC.

STATEMENT OF ACTIVITIES, BUDGET vs. ACTUAL, TEMPORARILY RESTRICTED
COMBINED

	Month Ended September 30, 2016				Year Ended September 30, 2016			
	Actual	Budget	Variance	Percent	Actual	Budget	Variance	Percent
Revenues								
Outside Donations	-	-	-	0.0%	127,617	-	127,617	0.0%
Total Revenues	<u>-</u>	<u>-</u>	<u>-</u>	<u>0.0%</u>	<u>127,617</u>	<u>-</u>	<u>127,617</u>	<u>100.0%</u>
Expenses								
Independence Salaries	3,333	3,333	(0)	0.0%	41,296	40,000	1,296	3.2%
Payroll Taxes	253	255	(2)	-0.8%	3,135	3,060	75	2.5%
Health Insurance	629	602	27	4.5%	7,260	7,226	34	0.5%
Program Expense	8,174	-	8,174	0.0%	118,286	-	118,286	0.0%
Staff Travel Expense	-	28	(28)	-100.0%	289	335	(46)	-13.7%
Office Supplies	33	25	8	30.2%	268	308	(40)	-13.1%
Postage	-	-	-	0.0%	49	-	49	0.0%
Rent	-	125	(125)	-100.0%	-	1,500	(1,500)	-100.0%
Utilities	-	-	-	0.0%	71	-	71	0.0%
Telephone	85	99	(14)	-13.8%	1,520	1,200	320	26.7%
Internet	100	99	1	1.4%	700	1,200	(500)	-41.7%
Total Expense	<u>12,607</u>	<u>4,566</u>	<u>8,041</u>	<u>0.0%</u>	<u>172,874</u>	<u>54,829</u>	<u>117,974</u>	<u>0.0%</u>
Operating Revenue	<u>(12,607)</u>	<u>(4,566)</u>	<u>(8,041)</u>	<u>176.1%</u>	<u>(45,257)</u>	<u>(54,829)</u>	<u>9,643</u>	<u>-17.6%</u>
Change in net assets	<u>(12,607)</u>	<u>(4,566)</u>	<u>(8,041)</u>	<u>176.1%</u>	<u>(45,257)</u>	<u>(54,829)</u>	<u>9,572</u>	<u>-17.5%</u>

**INDEPENDENCE, INC.
SUPPLEMENTARY INFORMATION**

**STATEMENTS OF ACTIVITIES, BUDGET vs. ACTUAL
ADMINISTRATION**

	Month Ended September 30, 2016				Year Ended September 30, 2016			
	Actual	Budget	Variance	Percent	Actual	Budget	Variance	Percent
Revenues								
Federal Title VII	9,807	9,808	-	0.0%	119,341	119,340	1	0.0%
KS Title VII	-	1,233	(1,233)	-100.0%	-	15,000	(15,000)	-100.0%
Development and Donations	-	1,808	(1,808)	-100.0%	14,514	22,000	(7,486)	-34.0%
Office Rentals	1,825	1,667	158	0.0%	25,325	20,000	5,325	0.0%
Interest	71	100	(29)	0.0%	812	1,200	(388)	0.0%
Misc Income	-	-	-	0.0%	2,643	-	2,643	0.0%
Fundraisers	-	247	(247)	-100.0%	825	3,000	(2,175)	-72.5%
Total Revenues	11,703	14,863	(3,158)	-21.2%	163,460	180,540	(17,080)	-9.5%
Expenses								
Independence Salaries	13,411	11,986	1,425	11.9%	164,467	143,830	20,637	14.3%
Payroll Taxes	992	917	75	8.2%	12,014	11,003	1,011	9.2%
Payroll Expenses - Other	56	-	56	0.0%	448	-	448	0.0%
Health Insurance	1,717	1,674	43	2.6%	20,350	20,088	262	1.3%
Professional Costs	-	302	(302)	-100.0%	140	3,670	(3,530)	-96.2%
Workers Compensation	158	7	151	2222.0%	1,896	83	1,813	2190.2%
KS Title VII Strategic Plan	-	1,233	(1,233)	-100.0%	-	15,000	(15,000)	-100.0%
Unemployment Expense	1,315	1,219	96	7.9%	15,777	14,831	946	6.4%
Conferences and Workshops	3	-	3	0.0%	780	-	780	0.0%
Contract Services	-	1,286	(1,286)	-100.0%	-	15,648	(15,648)	-100.0%
Program Expense	44	-	44	0.0%	5,433	-	5,433	0.0%
Staff Travel Expense	922	90	832	921.6%	2,752	1,098	1,654	150.6%
Dues and Memberships	30	261	(231)	-88.5%	701	3,172	(2,471)	-77.9%
Board of Directors	-	-	-	0.0%	4,071	-	4,071	0.0%
Utilities	1,928	1,100	828	75.3%	16,666	13,382	3,284	24.5%
Lawn & Parking Areas	245	291	(46)	0.0%	3,640	3,540	100	2.8%
Janitorial	727	568	159	0.0%	7,624	6,905	719	10.4%
Maintenance	1,133	745	388	52.1%	12,977	9,061	3,916	43.2%
Office Supplies	638	288	350	121.4%	3,588	3,506	82	2.3%
Equipment Lease	452	524	(72)	-13.8%	6,686	6,378	308	4.8%
Shredding	35	-	35	0.0%	420	-	420	0.0%
Postage	50	87	(37)	-42.8%	795	1,063	(268)	-25.2%
Telephone	897	609	288	47.3%	8,887	7,409	1,478	20.0%
Business Insurance	2,050	1,722	328	19.0%	25,072	20,951	4,121	19.7%
Bank & Financial Fees	888	272	616	226.0%	4,120	3,314	806	24.3%
Publications	-	-	-	0.0%	16	-	16	0.0%
Development	-	75	(75)	-100.0%	-	912	(912)	-100.0%
Events	-	-	-	0.0%	25	-	25	0.0%
Public Relations	80	1,134	(1,054)	-92.9%	6,185	13,794	(7,609)	-55.2%
Staff Expense	14	1,862	(1,848)	-99.2%	792	22,653	(21,861)	-96.5%
IT Contract services	1,186	-	1,186	0.0%	13,216	-	13,216	0.0%
Accounting Expense	2,957	3,452	(495)	-14.3%	28,841	42,000	(13,159)	-31.3%
Auditing Expense	-	-	-	0.0%	8,250	-	8,250	0.0%
Internet	106	298	(192)	-64.5%	1,853	3,630	(1,777)	-49.0%
Fees & Penalties	35	-	35	0.0%	315	-	315	0.0%
Disability Mentoring Day	-	-	-	0.0%	57	-	57	0.0%
Background Checks	500	-	500	0.0%	1,890	-	1,890	0.0%
Misc Expense	-	67	(67)	-100.0%	11	818	(807)	-98.7%
Marketing	425	-	425	0.0%	1,215	-	1,215	0.0%
Allocated Expenses	(23,782)	(17,806)	(5,976)	33.6%	(260,511)	(215,784)	(44,727)	20.7%
Total Expense	9,212	14,263	(5,051)	-35.4%	121,459	171,956	(50,497)	-29.4%
Operating Revenue	2,491	600	1,891	315.2%	42,001	8,584	33,417	389.3%
Other (Income)/Expense								
Depreciation Expense	3,695	3,733	(38)	-1.0%	44,340	44,790	(450)	-1.0%
Loss on disposal of assets	-	-	-	0.0%	23,114	-	-	0.0%
(Gain)/Loss on Investments	(9,485)	-	(9,485)	0.0%	(32,225)	-	(32,225)	0.0%
Total Other (Income)/Expense	(5,790)	3,733	(9,523)	-255.1%	35,229	44,790	(32,675)	-73.0%
Change in net assets	8,281	(3,133)	11,414	-364.4%	5,772	(36,206)	42,978	-118.7%

**INDEPENDENCE, INC.
SUPPLEMENTARY INFORMATION**

**STATEMENTS OF ACTIVITIES, BUDGET vs. ACTUAL
PASS**

	Month Ended September 30, 2016				Year Ended September 30, 2016			
	Actual	Budget	Variance	Percent	Actual	Budget	Variance	Percent
Revenues								
Medicaid Reimbursements	132,514	143,671	(11,157)	-7.8%	1,726,969	1,748,000	(21,031)	-1.2%
Client Obligations	4,127	3,123	1,004	32.1%	36,427	38,000	(1,573)	-4.1%
United Way	1,066	-	1,066	0.0%	22,452	15,471	6,981	0.0%
Pass Admin Fee	10,810	10,274	536	5.2%	131,560	125,000	6,560	5.2%
Total Revenues	148,517	157,068	(8,551)	-5.4%	1,917,408	1,926,471	(9,063)	-0.5%
Expenses								
PASS Salaries	111,600	121,270	(9,670)	-8.0%	1,450,862	1,475,449	(24,587)	-1.7%
Independence Salaries	4,257	5,112	(855)	-16.7%	63,480	61,345	2,115	3.4%
YEP Salaries	1,066	840	226	26.9%	7,349	10,223	(2,874)	-28.1%
Indy Payroll Taxes	309	391	(82)	-21.0%	4,551	4,693	(142)	-3.0%
Pass Payroll Taxes	8,614	9,277	(663)	-7.1%	113,371	112,872	499	0.4%
YEP Payroll Taxes	-	64	(64)	-100.0%	-	782	(782)	-100.0%
Health Insurance	629	1,042	(413)	-39.6%	10,535	12,501	(1,966)	-15.7%
Payroll Expenses - Other	-	47	(47)	-100.0%	152	576	(424)	-73.6%
Workers Compensation	2,672	3,630	(958)	-26.4%	40,165	44,160	(3,995)	-9.0%
Unemployment Expense	1,617	1,572	45	2.8%	19,407	19,130	277	1.4%
Program Expense	-	797	(797)	0.0%	2,620	9,698	(7,078)	0.0%
Staff Travel Expense	-	136	(136)	-100.0%	645	1,649	(1,004)	-60.9%
Postage	-	-	-	0.0%	49	-	49	0.0%
Staff Expense	-	205	(205)	-100.0%	-	2,500	(2,500)	-100.0%
Background Checks	-	-	-	0.0%	3,346	-	3,346	0.0%
Client Obligations	4,127	3,123	1,004	32.1%	36,272	38,000	(1,728)	-4.5%
Allocated Expenses	8,637	6,079	2,558	42.1%	93,433	73,665	19,768	26.8%
Total Expense	143,528	153,585	(10,057)	-6.5%	1,846,217	1,867,242	(21,025)	-1.1%
Operating Revenue	4,989	3,483	1,506	43.2%	71,191	59,229	11,962	20.2%
Change in net assets	4,989	3,483	1,506	43.2%	71,191	59,229	11,962	20.2%

**INDEPENDENCE, INC.
SUPPLEMENTARY INFORMATION**

**STATEMENTS OF ACTIVITIES, BUDGET vs. ACTUAL
CORE SERVICES**

	Month Ended September 30, 2016				Year Ended September 30, 2016			
	Actual	Budget	Variance	Percent	Actual	Budget	Variance	Percent
Revenues								
Federal Title VII	6,472	6,472	(0)	0.0%	78,745	78,746	(1)	0.0%
Money Follows the Person	(1,551)	-	-	0.0%	4,894	-	-	0.0%
KS Title VII	5,532	4,550	982	21.6%	72,474	55,353	17,121	30.9%
Accessible Housing Program	-	247	(247)	-100.0%	3,477	3,000	477	15.9%
United Way	-	302	(302)	0.0%	2,715	3,619	(904)	-25.0%
Braille Income	-	-	-	0.0%	70	-	70	0.0%
Equipment Loan Donations	658	-	658	0.0%	1,801	-	1,801	0.0%
Miscellaneous Income	-	-	-	0.0%	1,402	-	1,402	0.0%
Total Revenues	<u>11,111</u>	<u>11,570</u>	<u>(459)</u>	<u>-4.0%</u>	<u>165,578</u>	<u>140,718</u>	<u>19,966</u>	<u>14.2%</u>
Expenses								
Independence Salaries	7,570	6,980	590	8.4%	90,541	83,763	6,778	8.1%
Payroll Taxes	567	534	33	6.2%	6,810	6,408	402	6.3%
Health Insurance	1,258	1,204	54	4.5%	14,528	14,452	76	0.5%
Professional Costs	-	78	(78)	-100.0%	-	954	(954)	-100.0%
Workers Compensation	53	112	(59)	-52.5%	647	1,357	(710)	-52.3%
Unemployment Expense	527	368	159	43.4%	6,321	4,472	1,849	41.4%
Conferences and Workshops	400	-	400	0.0%	438	-	438	0.0%
Program Expense	225	1,652	(1,427)	-86.4%	2,682	20,095	(17,413)	-86.7%
Staff Travel Expense	886	667	219	32.8%	5,181	8,117	(2,936)	-36.2%
Board of Directors	-	-	-	0.0%	316	-	316	0.0%
Events	-	-	-	0.0%	400	-	400	0.0%
Office Supplies	25	18	7	0.0%	101	216	(115)	0.0%
Disability Mentoring Day	-	-	-	0.0%	3,364	-	3,364	100.0%
Allocated Expenses	6,773	4,141	2,632	63.6%	72,492	50,186	22,306	44.4%
Total Expense	<u>18,284</u>	<u>15,754</u>	<u>2,530</u>	<u>16.1%</u>	<u>203,821</u>	<u>190,019</u>	<u>13,802</u>	<u>7.3%</u>
Operating Revenue	<u>(7,173)</u>	<u>(4,184)</u>	<u>(2,989)</u>	<u>71.5%</u>	<u>(38,243)</u>	<u>(49,301)</u>	<u>6,164</u>	<u>-12.5%</u>
Change in net assets	<u><u>(7,173)</u></u>	<u><u>(4,184)</u></u>	<u><u>(2,989)</u></u>	<u><u>71.5%</u></u>	<u><u>(38,243)</u></u>	<u><u>(49,301)</u></u>	<u><u>6,164</u></u>	<u><u>-12.5%</u></u>

**INDEPENDENCE, INC.
SUPPLEMENTARY INFORMATION**

**STATEMENTS OF ACTIVITIES, BUDGET vs. ACTUAL
TRANSPORTATION**

	Month Ended September 30, 2016				Year Ended September 30, 2016			
	Actual	Budget	Variance	Percent	Actual	Budget	Variance	Percent
Revenues								
KDOT 5310	-	-	-	0.0%	5,000	5,000	-	0.0%
KDOT 5311	4,931	3,923	1,008	25.7%	65,626	47,726	17,900	37.5%
Douglas County Grant	17,917	17,671	246	1.4%	214,998	215,000	(2)	0.0%
Consumer Rides	2,442	2,137	305	14.3%	26,839	26,000	839	3.2%
Private Insurance (Homelink)	374	-	374	0.0%	5,576	-	5,576	0.0%
Medicaid Rides	-	1,479	(1,479)	100.0%	5,419	18,000	(12,581)	100.0%
Miscellaneous	-	-	-	100.0%	138	-	138	100.0%
United Way	-	750	(750)	0.0%	-	3,000	(3,000)	-100.0%
Total Revenues	<u>25,664</u>	<u>25,960</u>	<u>(296)</u>	<u>-1.1%</u>	<u>323,596</u>	<u>314,726</u>	<u>8,870</u>	<u>2.8%</u>
Expenses								
Independence Salaries	8,718	10,645	(1,927)	-18.1%	104,558	127,734	(23,176)	-18.1%
Payroll Taxes	640	814	(174)	-21.4%	7,680	9,772	(2,092)	-21.4%
Health Insurance	2,547	2,632	(85)	-3.2%	26,445	31,580	(5,135)	-16.3%
Professional Costs	-	35	(35)	-100.0%	140	420	(280)	-66.7%
Workers Compensation	-	197	(197)	-100.0%	-	2,400	(2,400)	-100.0%
Unemployment	-	560	(560)	-100.0%	-	6,808	(6,808)	-100.0%
Conferences and Workshops	-	-	-	100.0%	345	-	345	0.0%
Program Expense	202	844	(642)	-76.1%	3,852	10,267	(6,415)	-62.5%
Staff Travel Expense	-	36	(36)	-100.0%	359	438	(79)	-18.0%
Office Supplies	-	25	(25)	-100.0%	93	300	(207)	-69.0%
Postage	-	-	-	0.0%	22	-	22	100.0%
Vehicle Maintenance	404	1,398	(994)	-71.1%	6,146	17,015	(10,869)	-63.9%
Fuel	1,205	1,679	(474)	-28.2%	14,633	20,428	(5,795)	-28.4%
Misc Expense	-	70	(70)	100.0%	58	857	(799)	100.0%
Public Relations	-	232	(232)	-100.0%	250	2,828	(2,578)	-91.2%
Radios for Vans	511	-	511	0.0%	3,929	-	3,929	0.0%
Allocated Expenses	8,372	7,586	786	10.4%	95,149	91,933	3,216	3.5%
Total Expense	<u>22,599</u>	<u>26,753</u>	<u>(4,154)</u>	<u>-15.5%</u>	<u>263,659</u>	<u>322,780</u>	<u>(59,121)</u>	<u>-18.3%</u>
Operating Revenue	<u>3,065</u>	<u>(792)</u>	<u>3,857</u>	<u>-486.9%</u>	<u>59,937</u>	<u>(8,054)</u>	<u>67,991</u>	<u>-844.2%</u>
Other Operating Expenses								
Depreciation Expense	3,403	2,109	1,294	61.3%	31,569	25,310	6,259	24.7%
Total Other Expense	<u>3,403</u>	<u>2,109</u>	<u>1,294</u>	<u>61.3%</u>	<u>31,569</u>	<u>25,310</u>	<u>6,259</u>	<u>24.7%</u>
Change in net assets	<u>(338)</u>	<u>(2,901)</u>	<u>2,563</u>	<u>-88.4%</u>	<u>28,368</u>	<u>(33,364)</u>	<u>61,732</u>	<u>-185.0%</u>

**INDEPENDENCE, INC.
SUPPLEMENTARY INFORMATION**

**STATEMENTS OF ACTIVITIES, BUDGET vs. ACTUAL
ASSISTIVE TECHNOLOGY**

	<u>Month Ended September 30, 2016</u>				<u>Year Ended September 30, 2016</u>			
	<u>Actual</u>	<u>Budget</u>	<u>Variance</u>	<u>Percent</u>	<u>Actual</u>	<u>Budget</u>	<u>Variance</u>	<u>Percent</u>
Revenues								
Outside Donations	-	-	-	0.0%	127,617	-	127,617	0.0%
Total Revenues	<u>-</u>	<u>-</u>	<u>-</u>	<u>0.0%</u>	<u>127,617</u>	<u>-</u>	<u>127,617</u>	<u>100.0%</u>
Expenses								
Independence Salaries	3,333	3,333	(0)	0.0%	41,296	40,000	1,296	3.2%
Payroll Taxes	253	255	(2)	-0.8%	3,135	3,060	75	2.5%
Health Insurance	629	602	27	4.5%	7,260	7,226	34	0.5%
Program Expense	8,174	-	8,174	0.0%	118,286	-	118,286	0.0%
Staff Travel Expense	-	28	(28)	-100.0%	289	335	(46)	-13.7%
Office Supplies	33	25	8	30.2%	268	308	(40)	-13.1%
Postage	-	-	-	0.0%	49	-	49	0.0%
Rent	-	125	(125)	-100.0%	-	1,500	(1,500)	-100.0%
Utilities	-	-	-	0.0%	71	-	71	0.0%
Telephone	85	99	(14)	-13.8%	1,520	1,200	320	26.7%
Internet	100	99	1	1.4%	700	1,200	(500)	-41.7%
Total Expense	<u>12,807</u>	<u>4,566</u>	<u>8,041</u>	<u>0.0%</u>	<u>172,874</u>	<u>54,829</u>	<u>117,974</u>	<u>0.0%</u>
Operating Revenue	<u>(12,807)</u>	<u>(4,566)</u>	<u>(8,041)</u>	<u>176.1%</u>	<u>(45,257)</u>	<u>(54,829)</u>	<u>9,643</u>	<u>-17.6%</u>
Change in net assets	<u>(12,607)</u>	<u>(4,566)</u>	<u>(8,041)</u>	<u>176.1%</u>	<u>(45,257)</u>	<u>(54,829)</u>	<u>9,572</u>	<u>-17.5%</u>

**INDEPENDENCE, INC.
SUPPLEMENTARY INFORMATION**

**MULTI – PERIOD STATEMENT OF ACTIVITIES
COMBINED**

	<u>September 30, 2015</u>	<u>August 31, 2016</u>	<u>September 30, 2016</u>
Revenues			
Medicaid Reimbursements	\$ 141,849	\$ 140,558	\$ 132,514
Money Follows the Person	-	-	(1,551)
Federal Title VII	16,279	16,824	16,279
KS Title VII	-	5,292	5,532
KDOT 5311	4,508	4,625	4,931
Douglas County	17,917	17,917	17,917
YEP! Grant	7,081	5,869	-
Client Obligations	(1,567)	699	4,127
Consumer Rides	2,870	2,561	2,442
Private Insurance	340	476	374
Donations	300	845	-
Interest	42	88	71
Misc Income	60	15	-
Equipment Loan Donations	20	294	658
Fundraisers	30	115	-
Pass Admin Fee	11,270	10,810	10,810
Building/Room Rentals	1,655	2,325	1,825
Total Revenues	\$ 203,816	\$ 209,313	\$ 196,995

**INDEPENDENCE, INC.
SUPPLEMENTARY INFORMATION**

**MULTI – PERIOD STATEMENT OF ACTIVITIES
COMBINED**

	<u>September 30, 2015</u>	<u>August 31, 2016</u>	<u>September 30, 2016</u>
Expenses			
PASS Salaries	\$ 118,004	\$ 120,445	\$ 111,600
Independence Salaries	37,806	38,632	37,289
YEP Salaries	214	825	1,066
Indy Payroll Taxes	2,558	2,854	2,761
Pass Payroll Taxes	9,039	9,272	8,614
Payroll Expenses - Other	51	56	56
Health Insurance	6,256	6,780	6,780
Professional Costs	-	-	-
Workers Compensation	3,648	2,884	2,883
Unemployment Expense	3,496	2,932	3,459
Conferences and Workshops	20	977	403
Program Expense	14,182	10,399	8,645
Staff Travel Expense	543	442	1,808
Dues and Memberships	30	-	30
Board of Directors	-	51	-
Utilities	1,152	2,156	1,928
Lawn & Parking Areas	745	345	245
Janitorial	649	659	727
Maintenance	1,162	2,861	1,133
Office Supplies	74	98	696
Postage Machine Lease	507	490	452
Shredding	35	35	35
Postage	325	50	50
Telephone	767	907	982
Business Insurance	2,559	2,061	2,050
Bank & Financial Fees	637	298	888
Events	360	-	-
Public Relations	884	250	80
Staff Expense	47	-	14
IT Contract services	850	1,200	1,186
Accounting Expense	2,940	2,135	2,957
Vehicle Maintenance	-	650	404
Fuel	1,822	1,241	1,205
Internet	200	206	206
Misc Expense	-	(1)	-
Client Obligations	3,274	698	4,127
Radios for Vans	229	340	511
Marketing	-	-	425
Total Expense	<u>215,065</u>	<u>214,468</u>	<u>206,230</u>
Operating Revenue	(11,249)	(5,155)	(9,235)
Other Operating Expenses			
Depreciation Expense	6,789	7,098	7,098
Total Other Expense	<u>6,789</u>	<u>7,098</u>	<u>(2,387)</u>
Change in net assets	<u>\$ (18,038)</u>	<u>\$ (12,253)</u>	<u>\$ (6,848)</u>

**INDEPENDENCE, INC.
SUPPLEMENTARY INFORMATION**

**MULTI – PERIOD STATEMENT OF ACTIVITIES
ADMINISTRATION**

	<u>September 30, 2015</u>	<u>August 31, 2016</u>	<u>September 30, 2016</u>
Revenues			
Donations	\$ 300	\$ 845	\$ -
Interest	42	88	71
Misc Income	-	-	-
Federal Title VII	9,808	10,136	9,807
Fundraisers	30	115	-
Building Room Rentals	1,655	2,325	1,825
Total Revenues	<u>11,835</u>	<u>13,509</u>	<u>11,703</u>
Expenses			
Independence Salaries	14,569	13,905	13,411
Indy Payroll Taxes	915	1,013	992
Health Insurance	1,649	1,717	1,717
Workers Compensation	222	158	158
Unemployment Expense	1,329	1,315	1,315
Staff Travel Expense	76	-	922
Dues and Memberships	30	-	30
Board of Directors	-	51	-
Utilities	1,092	2,156	1,928
Lawn & Parking Areas	745	345	245
Janitorial	649	659	727
Maintenance	1,162	2,861	1,133
Office Supplies	74	98	638
Equipment Lease	507	490	452
Shredding	35	35	35
Postage	325	50	50
Telephone	682	822	897
Business Insurance	2,559	2,061	2,050
Bank & Financial Fees	637	298	888
Program Expense	-	-	44
Public Relations	851	-	80
Staff Expense	47	-	14
IT Contract services	85	1,200	1,186
Accounting Expense	2,940	2,135	2,957
Internet	200	106	106
Fees & Penalties	-	-	35
Misc Expense	-	-	-
Allocated Expenses	(22,507)	(23,276)	(23,782)
Total Expense	<u>8,873</u>	<u>9,095</u>	<u>9,212</u>
Operating Revenue	2,962	4,414	2,491
Other Operating Expenses			
Depreciation Expense	3,695	3,695	3,695
Loss on disposal of assets	-	-	(9,485)
Total Other Expense	<u>3,695</u>	<u>3,695</u>	<u>(5,790)</u>
Change in net assets	<u>\$ (733)</u>	<u>\$ 719</u>	<u>\$ 8,281</u>

**INDEPENDENCE, INC.
SUPPLEMENTARY INFORMATION**

**MULTI – PERIOD STATEMENT OF ACTIVITIES
PASS**

	<u>September 30, 2015</u>	<u>August 31, 2016</u>	<u>September 30, 2016</u>
Revenues			
HCBS Reimbursements	\$ 141,849	\$ 140,558	\$ 132,514
Client Obligations	(1,567)	699	4,127
Pass Admin Fee	11,270	10,810	10,810
In Home Care Program Fees	-	-	-
United Way	7,081	5,567	1,066
Total Revenues	<u>158,633</u>	<u>157,634</u>	<u>148,517</u>
Expenses			
PASS Salaries	118,004	120,445	111,600
Independence Salaries	5,501	5,361	4,257
YEP Salaries	214	825	1,066
Caregiver Salaries	-	-	-
Indy Payroll Taxes	389	398	309
Pass Payroll Taxes	8,969	9,272	8,614
Payroll Expenses - Other	51	593	-
Health Insurance	1,026	629	629
Workers Compensation	3,350	2,672	2,672
Unemployment Expense	1,635	1,617	1,617
Staff Travel	-	52	-
Client Obligations	3,274	698	4,127
Background Checks	-	600	-
Allocated Expenses	9,751	8,038	8,637
Total Expense	<u>152,164</u>	<u>151,200</u>	<u>143,528</u>
Operating Revenue	<u>6,469</u>	<u>6,434</u>	<u>4,989</u>
Change in net assets	<u>\$ 6,469</u>	<u>\$ 6,434</u>	<u>\$ 4,989</u>

**INDEPENDENCE, INC.
SUPPLEMENTARY INFORMATION**

**MULTI – PERIOD STATEMENT OF ACTIVITIES
CORE SERVICES**

	<u>September 30, 2015</u>	<u>August 31, 2016</u>	<u>September 30, 2016</u>
Revenues			
Federal Title VII	\$ 6,471	\$ 6,688	\$ 6,472
KS Title VII	-	5,292	5,532
Accessible Housing Program	-	-	-
Miscellaneous Income	60	15	-
Braille Income	170	-	-
Equipment Loan Donations	20	294	658
Total Revenues	<u>6,721</u>	<u>12,591</u>	<u>11,111</u>
Expenses			
Independence Salaries	5,544	7,865	7,570
Indy Payroll Taxes	416	592	567
Health Insurance	1,177	1,258	1,258
Conferences & Workshops	-	527	400
Workers Compensation	76	54	53
Unemployment Expense	533	-	527
Program Expense	1,366	250	225
Board of Directors	-	-	-
Staff Travel Expense	287	390	886
Allocated Expenses	5,307	6,538	6,773
Total Expense	<u>15,099</u>	<u>17,474</u>	<u>18,284</u>
Operating Revenue	<u>(8,378)</u>	<u>(4,883)</u>	<u>(7,173)</u>
Change in net assets	<u>\$ (8,378)</u>	<u>\$ (4,883)</u>	<u>\$ (7,173)</u>

**INDEPENDENCE, INC.
SUPPLEMENTARY INFORMATION**

**MULTI – PERIOD STATEMENT OF ACTIVITIES
TRANSPORTATION SERVICES**

	<u>September 30, 2015</u>	<u>August 31, 2016</u>	<u>September 30, 2016</u>
Revenues			
KDOT 5310	\$ -	\$ -	\$ -
KDOT 5311	4,508	4,625	4,931
Douglas County	17,917	17,917	17,917
Consumer Rides	2,870	2,561	2,442
Private Insurance (Homelink)	340	476	374
Medicaid Rides	992	-	-
Total Revenues	<u>26,627</u>	<u>25,579</u>	<u>25,664</u>
Expenses			
Independence Salaries	8,858	8,168	8,718
Indy Payroll Taxes	657	598	640
Health Insurance	1,810	2,547	2,547
Professional Costs	-	-	-
Conferences and Workshops	-	-	-
Program Expense	189	758	202
Staff Travel Expense	180	-	-
Vehicle Maintenance	-	650	404
Fuel	1,822	1,241	1,205
Radios for Vans	229	340	511
Allocated Expenses	7,449	8,700	8,372
Total Expense	<u>21,444</u>	<u>23,252</u>	<u>22,599</u>
Operating Revenue	<u>5,183</u>	<u>2,327</u>	<u>3,065</u>
Other Operating Expenses			
Depreciation Expense	3,094	3,403	3,403
Total Other Expense	<u>3,094</u>	<u>3,403</u>	<u>3,403</u>
Change in net assets	<u>\$ 2,089</u>	<u>\$ (1,076)</u>	<u>\$ (338)</u>

**INDEPENDENCE, INC.
SUPPLEMENTARY INFORMATION**

**MULTI – PERIOD STATEMENT OF ACTIVITIES
ASSISTIVE TECHNOLOGY**

	<u>September 30, 2015</u>	<u>August 31, 2016</u>	<u>September 30, 2016</u>
Revenues			
Outside Donations	\$ -	\$ -	\$ -
Total Revenues	<u>-</u>	<u>-</u>	<u>-</u>
Expenses			
Independence Salaries	3,333	3,333	3,333
Payroll Taxes	253	253	253
Health Insurance	593	629	629
Program Expense	12,627	9,048	8,174
Public Relations	59	-	-
Staff Travel Expense	-	-	-
Office Supplies	-	-	33
Telephone	85	85	85
Internet	-	100	100
Total Expense	<u>16,950</u>	<u>13,448</u>	<u>12,607</u>
Operating Revenue	<u>(16,950)</u>	<u>(13,448)</u>	<u>(12,607)</u>
Change in net assets	<u>\$ (16,950)</u>	<u>\$ (13,448)</u>	<u>\$ (12,607)</u>

INDEPENDENCE, INC.
EXECUTIVE SUMMARY
AS OF SEPTEMBER 30, 2016

Revenue and Expense Summary Unrestricted	MONTH TO DATE			YEAR TO DATE		
	<i>Actual</i> September-16	<i>Actual</i> August-16	<i>Actual</i> September-15	<i>Actual</i> September-16	<i>Budget</i> September-16	<i>Variance</i> September-16
Revenue and Expenses, Combined						
Revenues	196,995	209,313	203,816	2,570,042	2,352,993	217,049
Expenses	191,236	208,111	221,854	2,501,954	2,405,901	96,053
Increase (decrease)	5,759	1,202	(18,038)	68,088	(52,908)	120,996
Revenue and Expenses by Dept	Revenue	Expenses	Increase (decrease)	Revenue	Expenses	Increase (decrease)
Administration	11,703	3,422	8,281	163,460	156,688	6,772
Core Services	11,111	18,284	(7,173)	165,578	203,821	(38,243)
PASS	148,517	143,528	4,989	1,917,408	1,846,217	71,191
Transportation	25,664	26,002	(338)	323,596	295,228	28,368

Revenue and Expense Summary Temporarily Restricted	MONTH TO DATE			YEAR TO DATE		
	<i>Actual</i> September-16	<i>Actual</i> August-16	<i>Actual</i> September-15	<i>Actual</i> September-16	<i>Budget</i> September-16	<i>Variance</i> September-16
Revenue and Expenses, Combined						
Revenues	-	-	-	127,617	-	127,617
Expenses	12,607	13,448	-	172,874	54,829	118,045
Increase (decrease)	(12,607)	(13,448)	-	(45,257)	(54,829)	9,572
Revenue and Expenses by Dept	Revenue	Expenses	Increase (decrease)	Revenue	Expenses	Increase (decrease)
Assistive Technology	-	12,607	(12,607)	127,617	172,874	(45,257)

INDEPENDENCE, INC.
EXECUTIVE SUMMARY
AS OF SEPTEMBER 30, 2016

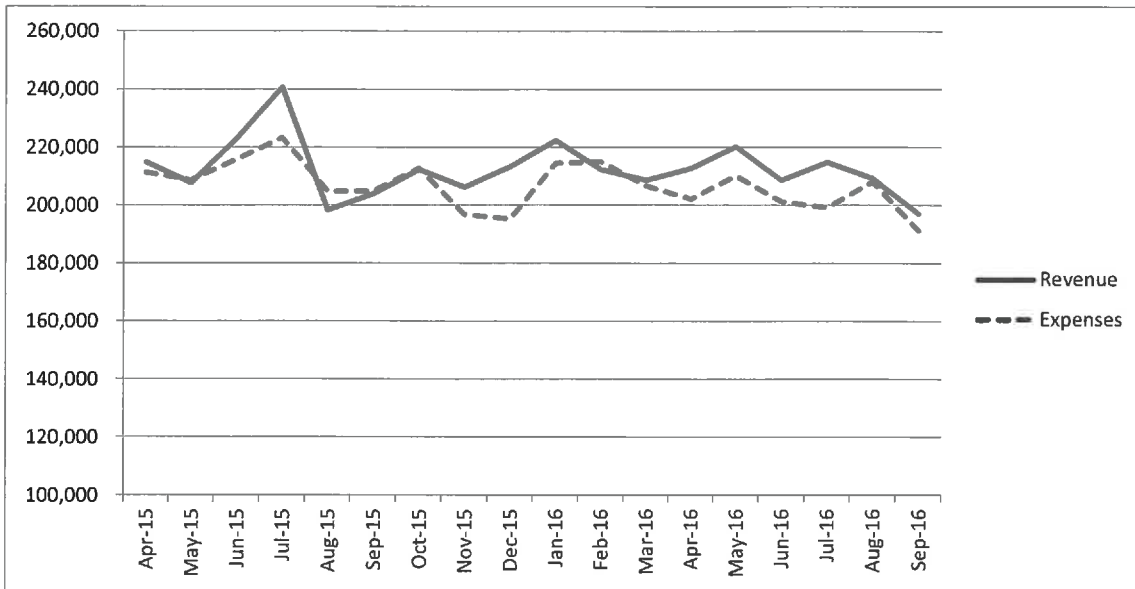
9/30/2016

Key Ratio Summary		Current (31 Days)	YTD (366 Days)
Days Cash on Hand:	Cash/Cash Equivalents	\$ 1,093,774	\$ 1,093,774
	Total Expenditures	\$ 206,230	\$ 2,608,030
	Daily Expenditure	\$ 6,874	\$ 7,126
	Days Cash on Hand	159.1	153.5
	Ratio Goal	95.0	95.0
	Previous Month	162.9	158.0
Days in Accounts Receivable:	AR Balance	\$ 164,637	\$ 164,637
	Cash Revenue	\$ 196,995	\$ 2,697,659
	Daily Revenue	\$ 6,567	\$ 7,371
	Days in Accounts Receivable	25.1	22.3
	Ratio Goal	35.0	35.0
	Previous Month	21.8	19.8
Working capital:	Current Assets	\$ 1,280,327	
	Current Liabilities	\$ 184,111	
	Working Capital	\$ 1,096,216	
	Current Ratio	6.95	
	Ratio Goal	4.00	
	Previous Month	6.01	
PASS Payroll as a % of HCBS Reimbursements & PASS Admin Fee:	Total PASS Payroll	111,600	1,451,014
	Total PASS Revenue	143,324	1,858,529
		77.87%	78.07%

8/31/2016

Key Ratio Summary		Current (31 Days)	YTD (336 Days)
Days Cash on Hand:	Cash/Cash Equivalents	\$ 1,127,235	\$ 1,127,235
	Total Expenditures	\$ 214,469	\$ 2,397,778
	Daily Expenditure	\$ 6,918	\$ 7,136
	Days Cash on Hand	162.9	158.0
	Ratio Goal	95.0	95.0
	Previous Month	172.8	159.8
Days in Accounts Receivable:	AR Balance	\$ 146,923	\$ 146,923
	Cash Revenue	\$ 209,313	\$ 2,494,124
	Daily Revenue	\$ 6,752	\$ 7,423
	Days in Accounts Receivable	21.8	19.8
	Ratio Goal	35.0	35.0
	Previous Month	19.5	18.1
Working capital:	Current Assets	\$ 1,308,459	
	Current Liabilities	\$ 217,830	
	Working Capital	\$ 1,090,629	
	Current Ratio	6.01	
	Ratio Goal	4.00	
	Previous Month	6.24	
PASS Payroll as a % of HCBS Reimbursements & PASS Admin Fee:	Total PASS Payroll	120,445	1,348,337
	Total PASS Revenue	151,368	1,715,136
		79.57%	78.61%

INDEPENDENCE, INC.
EXECUTIVE SUMMARY
AS OF SEPTEMBER 30, 2016



18 Months Rolling, Revenue and Expense Comparison*			
	Revenue	Expenses	Increase (decrease)
Sep-16	196,995	191,236	5,759
Aug-16	209,313	208,119	1,194
Jul-16	214,817	199,086	15,731
Jun-16	208,662	201,204	7,458
May-16	220,182	210,114	10,068
Apr-16	212,694	202,093	10,601
Mar-16	208,624	206,635	1,989
Feb-16	212,332	214,930	(2,598)
Jan-16	222,308	214,403	7,905
Dec-15	213,258	195,224	18,034
Nov-15	206,181	196,778	9,403
Oct-15	212,232	212,716	(484)
Sep-15	203,816	204,904	(1,088)
Aug-15	198,149	204,735	(6,586)
Jul-15	240,623	223,161	17,462
Jun-15	222,955	215,672	7,283
May-15	207,600	208,625	(1,025)
Apr-15	214,812	211,336	3,476

*Does not include Temporarily Restricted Funds

City of Lawrence CDBG/HOME Programs Grant Application

Revised 8/2016

Organization Name:		North Lawrence Improvement Association			
Mailing Address:		310 Elm Street, Lawrence KS 66044			
Agency Address (if different than above):					
DUNS Number:		080019655			
Contact Name:		Ted Boyle		Phone:	(785) 842 - 7232
Contact Title: President					
Email: pboyle@sunflower.com				Fax:	
<p>Organization Mission Statement: The mission of the North Lawrence Improvement Association is to increase the standard of living for all North Lawrence residents, especially those who are considered to be in low or moderate income households. It is also the mission of the organization to remove blight, refuse, and other unwanted items from the area.</p>					
Proposed Program or Activity Name: North Lawrence Traffic Calming Devices					
Proposed Program Address: Same as Above					
<p>Brief summary of Proposed Program or Activity: The installation of a traffic calming device at two locations in North Lawrence would slow traffic providing a safer environment for the residents (who are considered LMI) and users of that road. The cost of each device would be approximately \$3500. This activity provides the residents of North Lawrence with an opportunity to improve the safety of their streets in the near future, which otherwise may not happen for many years due a current backlog of areas needing traffic calming. The proposed locations are the 700 block of Walnut Street, and the 700 block of Elm Street. See attached letter from the Public Works Direct Charles Soules.</p>					
Funding Requested:		\$ 7000			
Request is:	100	% of project budget and	N/A	% of organization budget	
Which National Objective will be met? (Page 3 above)			Benefiting Low- and Moderate- Income (LMI) Persons		
Which Local Priority will be met? (Page 8 above)			Have a high benefit to low-income persons, Provide a housing or neighborhood benefit as described in the "Step Up to Better Housing" strategy		
<p>Certification: "I certify that I have reviewed this application and that, to the best of my knowledge and belief, all of the information provided is true and correct. I also certify that I am officially authorized to represent the submitting organization by its governing board in the filing of this application."</p>					
Signature: <i>Ted Boyle</i>				Date: <i>11-30-16</i>	
Print Name and Title: Ted Boyle - President					
FOR COMMUNITY DEVELOPMENT STAFF USE ONLY					
Date Received: 12/1/16		Application:		Complete <input checked="" type="checkbox"/>	Incomplete <input type="checkbox"/>
Received by: D. Dresslar					
Method Received:		Mail <input type="checkbox"/>	Hand Delivery <input type="checkbox"/>	Electronic <input checked="" type="checkbox"/>	

I. Narrative

Please use attachments to answer the following questions in the order presented. If you are requesting funds for more than one program or activity, please address the following questions for each one. Limit your total response to four pages, using standard one-inch margins, single-spacing and 12-point font.

1. What is the situation, problem or opportunity this grant will address?
2. How will this grant support the mission of the organization?
3. How many people will be served by this grant and what percentage are low- moderate income (describe method of verification)?
4. What resources are currently available to dedicate to the program or activity, including staff, volunteers, existing funds and community partners?
5. How is this approach to the issue unique or collaborative and what gives it a high likelihood of success?
6. How will success be measured and how will you continue to fund this project once grant funds are expended?
7. What is the organization's timeline for achieving the objectives of the grant?
8. What other funding sources have been approached and what have the responses been?

II. Program/Activity Budget

Neighborhood Associations - Please provide an itemized budget detailing **all association expenses** on the attached Neighborhood Budget template.

Agencies – Please provide an itemized budget detailing **all program/activity expenses**

on the attached Proposed Project/Activity Budget template.

Any proposal containing repair, rehabilitation, improvements, construction, demolition, acquisition or disposition of real property must include a detailed description including:

- project location and scope
- line-item budget
- bid proposals including labor and materials
- timeline for program/activity

**City of Lawrence CDBG/HOME Programs
Grant Application**

Revised 8/2016

ATTACHMENT CHECKLIST

Please complete and submit this checklist with a copy of the following documents, if applicable.

Please place all attachments at the end of the application. On the checklist, indicate by an "X" if the document is attached.

- Grant Application
- Officers and board members listing including names, titles, addresses, and principal occupations of all members
- Most recent annual report
- Complete itemized budget (using appropriate attached template)
- All newsletters from the previous grant year (Neighborhood Associations)
- Other City department approval (if required for the specific program/activity)

City of Lawrence CDBG/HOME Programs Grant Application

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I. Narrative

Please respond to the following for each program or activity contained in your application:

1. What is the situation, problem or opportunity this grant will address?

Issues with traffic within the neighborhood have continued to increase throughout time, and is an often-discussed topic at the monthly NLIA meetings. NLIA and the residents of North Lawrence have worked with the Lawrence Police Department before about helping deal with traffic issues, but there is only so much they can do. To provide a more permanent solution to this problem. NLIA proposed using CDBG funds to improve the neighborhood environment by installing traffic calming devices in areas where they are needed. This project includes two traffic calming devices to be installed in North Lawrence. These items foster neighborhood improvement, provide a neighborhood benefit, and impact LMI persons. The CDBG funds help to ensure this work continues to move forward to create a better and safer community environment, and that such work aligns with the vision of the people who live here.

2. How will this grant support the mission of the organization?

This grant will support the mission of NLIA by allowing us to improve the standard of living for all North Lawrence residents; especially those with low or moderate income levels and to remove neighborhood blight. The project has been suggested, discussed, and selected by the members of our association at our monthly meetings. We agree that this project benefits and improves the standard of living and safety for our residents. Especially those who live along those streets by ensuring a reasonable and safe speed is maintained by motorists.

3. How many people will be served by the grant and what percentage are low-moderate income (describe method of verification)?

This grant will serve all North Lawrence residents representing a population of nearly 3000 residents and 1200 households. Of this population, approximately 56% are considered low to moderate income. However, these statistics do fluctuate with economic conditions of the local and national economy. The statistics have remained somewhat constant over time. This information was gathered and reported in the City of Lawrence Consolidated Plan. The city of Lawrence has also shown in multiple reports that North Lawrence is eligible to receive CDBG funding.

City of Lawrence CDBG/HOME Programs Grant Application

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More specifically, it will impact the residents that live along those two streets, both in the immediate vicinity of the traffic calming device and the surrounding area. It will help make sure that a safe operating speed is observed and maintained by most motorists. Which will help ensure that North Lawrence remains a safe environment to raise children and cycle around the community.

4. What resources are currently available to dedicate to the program or activity, including staff, volunteers, existing funds and community partners?

As neighborhood members of the Lawrence community, we routinely collaborate with other neighborhood associations, city departments, and committees/commissions through different forms of communication. We have one paid staff member, our Coordinator, who is currently paid for up to 15-20 hours each month. All other NLIA officers are volunteers. The NLIA officers will be available and willing to assist the city in any way necessary to ensure the completion of these projects. The NLIA president has been very active, in the past two projects that were approved, by helping the city with various items such as getting approval from the surrounding neighbors.

5. How is this approach to the issue unique or collaborative and what gives it a high likelihood of success?

The NLIA's decision to improve the standard of living for neighborhood residents is not unique. Due to the volunteer nature of NLIA, we are usually able to make funds go a long way. However, because of the additional needs of community and its members, the desire for continued improvements, and other new circumstances require NLIA to ask for reasonable funding. This approach to funding and installing traffic calming devices in the neighborhood has been considered unique since it was first introduced two years ago. This method had previously not been considered for this type of work, and the City of Lawrence thought it was good and novel use of the funding. Since then two traffic calming devices have been approved, with one having been installed. The device that has been installed has already shown benefit for the surrounding area.

6. How will success be measured and how will you continue to fund this project once grant funds are expended?

NLIA will measure success in a few different ways. The first being that the number of complaints received by the NLIA about speeding along these streets are reduced or eliminated. The second measure of success will be that the perceived speed of the vehicles, as observed by residents and users of the street, in these locations is reduced once the traffic calming device is installed. Lastly, if traffic data is collected by the City of Lawrence then will be used to measure if the frequency or speed of the vehicles has changed as a result of

**City of Lawrence CDBG/HOME Programs
Grant Application**

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the traffic calming device.

If grant funds are not available to fund this project, we will not be able to accomplish our objectives that were outlined. Other than the minimal charge a minimal \$1.00 per person membership due and small fees for advertising in the semi-annual newsletter, there are currently no additional funds to support this type of project.

7. What is the organization's timeline for achieving the objectives of the grant?

Our timeline for achieving the objectives that were outlined in this grant is one year.

8. What other funding sources have been approached and what have the responses been?

General funding for NLIA is obtained through membership dues, and newsletter advertisements. However, all the money obtained through this goes to cover operating expenses of the organization. So, this is not a viable funding mechanism for this type of project. Another potential funding mechanism could be private donations, or donations made by those along the street where installation is being considered. However, given the current economic and tax climate, as well as the traffic calming and streets being the responsibility of the city, this probably would not be a viable option. It could be discussed and considered in the future. The last funding source option would be for the City of Lawrence to pay for it as they would other traffic calming devices throughout the city. There is currently a backlog of areas needing traffic calming devices funded and installed. This option would also significantly delay the installation of the devices in North Lawrence since we would be added to an already long waiting list. Using CDBG for funding is the only practical solution left for this activity.

**City of Lawrence CDBG/HOME Programs
Grant Application**

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Neighborhood Budget			
		NON CDBG \$	CDBG
Beginning Balance		\$ 3,798.00	\$ -
INCOME	Description		
Interest		\$ -	
Sales		\$ -	
Donations		\$ 4,935.00	
Memberships		\$ 100.00	
Advertising		\$ 739.00	
T-Shirts		\$ 220.00	
CDBG Grants		\$ -	\$ 14,000.00
Other (please list)		\$ -	
Other (please list)		\$ -	
Subtotal		\$ 9,792.00	\$ 14,000.00
Expenses			
Communication Expenses		\$ -	\$ -
Postage		\$ -	\$ 50.00
Newsletter Printing		\$ -	\$ 2,000.00
Newsletter Postage		\$ -	\$ 2,200.00
Website Expenses		\$ -	\$ 200.00
Coordinator Salary		\$ 173.25	\$ 1,500.00
PO Rental		\$ -	\$ -
Other Rental (Meeting Space)		\$ -	\$ 200.00
Office Supplies		\$ 14.87	\$ 525.00
Advertising		\$ -	\$ 325.00
Other Publicity (Specify)		\$ -	\$ -
LAN Dues		\$ 25.00	
Gifts/Grants		\$ 4,560.01	
Clean Up		\$ 250.00	\$ -
Capital Improvement	Traffic Calming	\$ -	\$ 7,000.00
Food/Entertainment		\$ 195.88	
Other (please list)		\$ 229.01	\$ -
Other (please list)		\$ 40.00	\$ -
Total Expenses		\$ 5,488.02	\$ 14,000.00
Ending Balance		\$ 4,303.98	\$ -

**City of Lawrence CDBG/HOME Programs
Grant Application**

Revised 8/2016

**NORTH LAWRENCE IMPROVEMENT ASSOCIATION
CURRENT OFFICER LIST**

Title	Name	Occupation	Address
President	Ted Boyle	Retired	310 Elm Street, Lawrence KS 66044
Vice President	Jeff Joseph	Self – Employed	818 Locust St, Lawrence KS 66044
Treasurer	Beverly Cates	Retired	629 Lyons Street, Lawrence KS 66044
Coordinator	Dylan Shmalberg	Engineer	513 N 7 th Street, Lawrence KS 66044
Secretary	Dylan Shmalberg	Engineer	513 N 7 th Street, Lawrence KS 66044



City of Lawrence

PUBLIC WORKS

THOMAS M. MARKUS
CITY MANAGER

City Offices
PO Box 708 66044-0708
www.lawrenceks.org

6 East 6th St
785-832-3000
FAX 785-832-3405

CITY COMMISSION

MAYOR
MIKE AMYX

COMMISSIONERS
LESLIE SODEN
STUART BOLEY
MATTHEW J. HERBERT
LISA LARSEN

November 29, 2016

Mr. Ted Boyle
310 Elm Street
Lawrence, KS 66044

Dear Mr. Boyle,

As discussed, the City will provide the installation / construction of a speed hump in the 700 block of Elm Street in North Lawrence. This item will need approval from the Traffic Safety Commission.

If approved for funding with CDBG funds you will need to work with our Traffic Operations Division for a specific location which will require adjacent owners' concurrence.

The Public Works budget does not have funding for traffic calming projects. This is a great way to fund these improvements and improve safety in an area. I am supportive of this and will provide for the construction with City staff. The cost of the materials will be approximately \$3,500.

Please let me know if additional is needed.

Thank you,

Mark Thiel
Assistant Director of Public Works

MT/ch





City of Lawrence

PUBLIC WORKS

THOMAS M. MARKUS
CITY MANAGER

City Offices
PO Box 708 66044-0708
www.lawrenceks.org

6 East 6th St
785-832-3000
FAX 785-832-3405

CITY COMMISSION

MAYOR
MIKE AMYX

COMMISSIONERS
LESLIE SODEN
STUART BOLEY
MATTHEW J. HERBERT
LISA LARSEN

November 29, 2016

Mr. Ted Boyle
310 Elm Street
Lawrence, KS 66044

Dear Mr. Boyle,

As discussed, the City will provide the installation / construction of a speed hump in the 700 block of Walnut Street in North Lawrence. This item will need approval from the Traffic Safety Commission.

If approved for funding with CDBG funds you will need to work with our Traffic Operations Division for a specific location which will require adjacent owners' concurrence.

The Public Works budget does not have funding for traffic calming projects. This is a great way to fund these improvements and improve safety in an area. I am supportive of this and will provide for the construction with City staff. The cost of the materials will be approximately \$3,500.

Please let me know if additional is needed.

Thank you,

Mark Thiel
Assistant Director of Public Works

MT/ch



City of Lawrence CDBG/HOME Programs Grant Application

Revised 8/2016

Organization Name:					
Mailing Address:					
Agency Address (if different than above):					
DUNS Number:					
Contact Name:			Phone:		
Contact Title:					
Email:				Fax:	
Organization Mission Statement:					
Proposed Program or Activity Name:					
Proposed Program Address:					
Brief summary of Proposed Program or Activity:					
Funding Requested:		\$			
Request is:		% of project budget and		% of organization budget	
Which National Objective will be met? (Page 3 above)					
Which Local Priority will be met? (Page 8 above)					
<p>Certification: "I certify that I have reviewed this application and that, to the best of my knowledge and belief, all of the information provided is true and correct. I also certify that I am officially authorized to represent the submitting organization by its governing board in the filing of this application."</p>					
Signature:				Date:	
Print Name and Title:					
FOR COMMUNITY DEVELOPMENT STAFF USE ONLY					
Date Received: 11/30/16		Application :	Complete <input checked="" type="checkbox"/>	Incomplete <input type="checkbox"/>	
Received by: D. Dresslar					
Method Received:		Mail <input type="checkbox"/>	Hand Delivery <input type="checkbox"/>	Electronic <input checked="" type="checkbox"/>	

I. Narrative

1. *What is the situation, problem or opportunity this grant will address?* A request for a pedestrian hybrid beacon to be installed on Haskell Avenue at 16th Street was proposed at the May 2, 2016, Traffic Safety Commission meeting at City Hall. The Lawrence-Douglas County Housing Authority brought to the attention of the Commission that “This would serve the T bus stop and allow for our low income elderly, disabled and youth populations to safely cross Haskell, either walking or on their bikes to get to the Burroughs trail”. Haskell Avenue is a busy Minor Arterial street; it is a major route from E. 23rd Street and the South Lawrence Trafficway to Downtown; and, with few gaps in traffic during peak traffic times, making it difficult for pedestrians to safely cross the street.
2. *How will this grant support the mission of the organization?* A pedestrian hybrid beacon would likely allow for more low income elderly, disabled and youth to cross Haskell Avenue. Installing a pedestrian hybrid beacon would encourage pedestrian traffic as it would provide a safe place to cross this busy Minor Arterial street. The City of Lawrence has a Complete Streets policy that encourages people to get around safely, even when they aren’t inside a car. The City continuously looks for locations to improve streets and sidewalks to encourage pedestrian and bike use for a healthier community. The construction of a pedestrian hybrid beacon on Haskell Avenue at 16th Street would promote this policy. The Traffic Safety Commission voted unanimously to recommend the installation of a pedestrian hybrid beacon at the proposed location, and, the City Commission concurred.
3. *How many people will be served by this grant and what percentage are low-moderate income (describe method of verification)?* In March 2016, the City received a request from the Lawrence-Douglas County Housing Authority, to help their low income elderly, disabled and youth populations to safely cross Haskell Avenue to get to the bus stop on the west side of the street. Haskell Avenue is classified as a “minor arterial” street and carries a volume of approximately 8,000 vehicles per day. A study of the gaps in traffic found that during the peak hour of the day, there are insufficient gaps in traffic for pedestrians to safely cross the street. The nearest controlled crossings are at 15th Street, approximately 1200 feet north of 16th Street, and, at 19th Street, approximately 1300 feet south of 16th Street. The Traffic Safety Commission heard the request at their May 2016 meeting. As a part of public comment it was noted that over 25% of the tenants of the Housing Authority are elderly and disabled, and, that people had missed appointments because they could not get across the street in time to catch the bus. The Traffic Safety Commission unanimously recommended approval. It is estimated that approximately 280

individuals from the Housing Authority will be served by this project; in addition, others in the neighborhood will be served.

4. *What resources are currently available to dedicate to the program or activity, including staff, volunteers, existing funds and community partners?* All engineering design and construction plans will be completed in-house under the direct supervision of the City Engineer. Bid letting and construction management/inspection services will be administered by the City Traffic Engineer, supported by a group of experienced construction inspectors on staff, with general supervision and direction of the City Engineer. Utilization of city resources for engineering design and construction management will maximize the benefits of the CDBG funds requested for this project; this approach will significantly cut-down the cost of the project. This approach will also expedite the progression of the project by eliminating the need for advertisement and selection of an engineering consultant. In addition, all equipment needed for this project is on-hand or will be purchased by the City.

5. *How is this approach to the issue unique or collaborative and what gives it a high likelihood of success?* This project would supplement the on-going effort by the City to improve connectivity and walk-ability throughout the city. Adding a pedestrian hybrid beacon will improve safety for elderly, disabled and youth trying to cross a busy street, especially during morning and evening peak hours. Like any other community project, positive public reception and support will be the key to the success of this project. This project will be viewed by the residents as a collaborative and cooperative effort by the City government to improve the livability of Lawrence neighborhoods.

6. *How will success be measured and how will you continue to fund this project once grant funds are expended?* The City Traffic Operations Division will provide all maintenance for the pedestrian hybrid beacon after construction is complete. This project will be deemed successful when complete as planned and as budgeted without controversies and conflicts. It is important that this project receives the approval and appreciation of the residents it is intended to serve. This project was requested by the community. The City will continue to pursue funding from various sources and look for innovative ways to fund new pedestrian friendly projects such as the construction of pedestrian hybrid beacons at other worthy locations throughout the City.

7. *What is the organization's timeline for achieving the objectives of the grant?*

January 2017:	CDBG Award (tentative date of award)
April 2017:	Engineering Design and Construction Plans completed
May 2017:	Bid Opening

August 2017: Begin Construction
November 2017: Complete (100%) Construction

8. *What other funding sources have been approached and what have the responses been?* No other funding sources have been identified.

Proposed Project/Activity Budget

Explanation should describe how you arrived at amounts. See example.
 If lines are inserted, remember to add calculated fields.

Budget Items	Explanation	CDBG Funds	Other Funds	Total Funds
PERSONNEL				
(Ex. Project Director)	(ex. 70 hours @ \$20/hour)			-
				-
				-
				-
				-
				-
Other (specify below)				-
				-
COMMUNICATIONS				
Telephone				-
Printing				-
Postage				-
Publicity				
Other (specify below)				
SUPPLIES				
Office				-
Equipment				
Space Rental				-
OTHER COSTS (specify below)				
TOTALS		45,000	13,000	58,000

Any proposal containing repair, rehabilitation, improvements, construction, demolition, acquisition or disposition of real property must include a detailed description including:

- project location and scope
- line-item budget
- bid proposals including labor and materials
- timeline for program/activity

City of Lawrence CDBG/HOME Programs Grant Application

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Organization Name:		City of Lawrence – Public Works Department			
Mailing Address:		Public Works Department, City Hall, PO BOX 708, Lawrence, KS 66044			
Agency Address (if different than above):					
DUNS Number:	030692461				
Contact Name:	Nicholas Voss	Phone:	(785) 832-3192		
Contact Title: Project Engineer					
Email:	nvoss@lawrenceks.org	Fax:	(785) 832-3398		
Organization Mission Statement:					
We are committed to providing excellent city services that enhance the quality of life of the Lawrence community.					
Proposed Program or Activity Name: Sidewalk Ramp Program					
Proposed Program Address: City of Lawrence					
Brief summary of Proposed Program or Activity:					
An inventory of all sidewalk and sidewalk ramps has been completed. The proposed project would provide funding for sidewalk ramps to comply with the Americans with Disabilities Act.					
Funding Requested:		\$ 93,000			
Request is:	87	% of project budget and	N/A	% of organization budget	
Which National Objective will be met? (Page 3 above)			Benefiting Low-Mod Income, Meeting Urgent Needs		
Which Local Priority will be met? (Page 8 above)			Critical Unmet Need, High Benefit to Low Income Persons		
Certification:					
"I certify that I have reviewed this application and that, to the best of my knowledge and belief, all of the information provided is true and correct. I also certify that I am officially authorized to represent the submitting organization by its governing board in the filing of this application."					
Signature:				Date: 11/25/16	
Print Name and Title:					
FOR COMMUNITY DEVELOPMENT STAFF USE ONLY					
Date Received: amended 12/5/16		Application :	Complete <input checked="" type="checkbox"/>	Incomplete <input type="checkbox"/>	
Received by: B. Karr					
Method Received:		Mail <input type="checkbox"/>	Hand Delivery <input type="checkbox"/>	Electronic <input checked="" type="checkbox"/>	

1. What is the situation, problem or opportunity this grant will address?

In 2006, Public Works staff completed a city-wide sidewalk survey and developed a comprehensive inventory, identified gaps in the existing sidewalk and rated condition of the existing sidewalk in 4 different categories – good, fair, poor and critical.

In 2008, the Public Works Department was awarded CDBG funds in the amount of \$239,690.00 for sidewalk projects in the low-moderate income (LMI) areas. Completion of this project greatly improved sidewalk connectivity in Lawrence by closing gaps along some important routes: Haskell Avenue, Iowa Street and 15th street.

In 2009, the Public Works Department was awarded CDBG funds in the amount of \$226,178.00 to undertake various sidewalk projects in the LMI areas. This project included additional sidewalk on east 23rd Street, 13th Street and Iowa St.

In 2010, the Public Works Department was awarded CDBG funds in the amount of \$98,000.00 for sidewalk projects in the LMI areas. This funding was utilized to provide a continuous pathway between KU and downtown along the north side of 12th Street.

In 2011, the Public Works Department was awarded CDBG funds in the amount of \$205,000 for sidewalks that included additional funds for the KU lighted pathway and the east side of Iowa Street from Harvard to 6th Street.

In 2012, the Public Works Department was awarded CDBG funds in the amount of \$40,000 for sidewalks along New Hampshire from 17th to 19th St, 9th Street east of Iowa, and 11th Street from Indiana to Mississippi.

In 2013, the Public Works Department was awarded CDBG funds in the amount of \$112,500 for sidewalks along 16th Street from R.I. to Barker, Winona Street both sides, Naismith Drive south of 24th Street, Crescent Road east of Naismith Drive, 27th Street from Arkansas west to bridge, Ridge Court from 27th to 26th, 26th Street east and west of Ridge Court, 19th Terrace, and the 900 Block of Arkansas Street.

In 2014, the Public Works Department was awarded CDBG funds in the amount of \$87,500 for sidewalks along 26th Street from Iowa to existing sidewalk to the east, Ridge Court near the United Way Property, Naismith Drive south of 23rd street, Winona Avenue west of Barker Avenue, Cedarwood Avenue south of 25th Street, 19th Terrace from Ousdahl Road to Naismith Drive, and the 600 block of Michigan Street.

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In 2015, the Public Works Department was awarded CDBG funds in the amount of \$117,625 for sidewalks along Kansas Street, Park Hill Terrace, Walnut Street, Ousdahl Road, and 24th Street.

The city has been making continuous efforts to existing sidewalk gaps and also to improve the condition of existing sidewalks through repair as funds become available. CDBG funds have been instrumental in our effort to improve the walk-ability in the LMI areas in Lawrence. For this application, the Public Works Department has identified a need for the construction of sidewalk ramps. 93 locations are in need of sidewalk ramps within the CDBG area and would be constructed with an estimated cost of \$1000 dollars each.

2. How will this grant support the mission of the organization?

The City of Lawrence is committed to a multi-modal transportation network by providing sidewalks, bike lanes, bike routes and shared-use paths throughout the city. One of the goals of the Lawrence City Commission is to "improve the livability of all Lawrence neighborhoods". Providing sidewalk ramps that help people of all mobility types will contribute to the safety and well-being of Lawrence residents. The list of past CDBG projects described in the beginning of this application show how CDBG funds have already made significant contributions in improving the livability of Lawrence neighborhoods. The additional requested CDBG funds will further enhance the quality of life in Lawrence by removing barriers to the links built in the community.

3. How many people will be served by this grant and what percentage are low- moderate income (describe method of verification)?

This project is located in areas of the city where more than 50 percent of the population are in the low to moderate income category. City staff will be able to eliminate the areas where a sidewalk ties directly into the curb within all CDBG locations with the construction of sidewalk ramps.

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4. What resources are currently available to dedicate to the program or activity, including staff, volunteers, existing funds and community partners?

Topographic survey for this project will be performed by the city surveyor and other engineering staff. All engineering design and construction plans will also be completed in-house under the direct supervision of the City Engineer. Bid letting and construction management / inspection services will be administered by the City Surveyor, supported by a group of experienced construction inspectors on staff, and with general supervision and direction from the City Engineer.

Utilization of city resources for environmental review, topographic survey, engineering design and construction management will maximize the benefits of the CDBG funds requested for this project as this approach will significantly cut down the cost of the project. This approach will also expedite the progression of the project by eliminating the need for advertisement and selection of an engineering consultant.

5. How is this approach to the issue unique or collaborative and what gives it a high likelihood of success?

This project would supplement the ongoing effort by the city to improve connectivity and walk-ability throughout the city. Like any other community projects, positive public perception and support will be the key to the success of this project. This project will be viewed by the residents as a collaborative and cooperative effort by the City government to improve the livability of Lawrence neighborhoods.

6. How will success be measured and how will you continue to fund this project once grant funds are expended?

This project will be deemed successful when completed as planned and as budgeted without controversies or conflicts. It is important that this project receives the approval and appreciation of the residents it is intended to serve. The long term success will be measured by the durability and sustainability of the work being completed under this project. The city will continue to pursue funding from various sources and look for innovative ways to fund new sidewalk projects as well as help residents maintain the existing sidewalks in good and safe operating condition.

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7. What is the organization's timeline for achieving the objectives of the grant?

Spring 2017: CDBG Funds awarded

Spring/Summer 2017: Engineering Survey, Design and Plans completed

Summer/Fall 2017: Bid Letting and Construction

Fall 2017: Project Complete

8. What other funding sources have been approached and what have the responses been?

The City of Lawrence routinely includes sidewalk projects in the Capital Improvement programs. All new construction includes sidewalks and shared-use paths on both sides of the street, as applicable. However, the resources allocated by the city in this area are dwarfed by the monumental need for funding to bridge the existing gaps and to replace the broken sidewalks. We have not solicited funding from any other sources for this project. The city will be providing all professional, technical and legal services necessary for this project. As the project budget shows, the cost of the professional engineering and legal services will account for 15% of the total project cost.

Installation of sidewalk ramp (93 @ \$1000 each)	<u>\$ 93,000.00</u>
Total Construction Cost (CDBG funds requested)	\$ 93,000.00
Engineering Survey and Design (10%)	\$ 9,300.00
Construction Management / Inspection (5%)	<u>\$ 4,650.00</u>
Total Professional Services Costs (provided by City Staff):	\$ 13,950.00
Total Project Cost : [CDBG, \$93,000 + City, \$13,950]	\$ 106,950.00

Attached: Sidewalk Ramp Does Not Exist- CDBG map. This shows all areas where sidewalk exists without a sidewalk ramp. 93 of these locations are within CDBG areas.

Sidewalk Ramp Does Not Exist- CDBG

256 locations marked during the 2014 sidewalk inventory, 93 are within the CDBG area

