

## ***AGREEMENT FOR THE USE OF CITY SPECIAL ALCOHOL FUNDS***

This Agreement is entered into between **the City of Lawrence, Kansas**, a municipal corporation (hereafter "City") and **DCCCA, Inc. d/b/a First Step** (hereafter the "Independent Agency"), on this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_, for the purpose of establishing certain conditions on the receipt, expenditure and use of City funds received by the Independent Agency.

### **I. Use of City Funds**

As a condition of the receipt of City funds amounting to **\$37,180** during City budget year 2017, the Independent Agency hereby agrees to use and expend all such funds pursuant to:

- A) City of Lawrence Charter ordinance No. 33, which outlines the following uses for special alcohol and drug program funds:
  - 1. Prevention of alcoholism and drug abuse, including but not limited to education, counseling, public informational efforts and related activities; or
  - 2. Alcohol and drug detoxification efforts and related activities; or
  - 3. Intervention in alcohol and drug abuse or treatment of persons who are alcoholics or drug abusers or are in danger of becoming alcoholics or drug abusers; or
  - 4. Law enforcement, prosecution, court activities and programs, or portions thereof, related to apprehending, prosecuting, adjudicating or monitoring individuals who are alcoholics or drug abusers or are in danger of becoming alcoholics or drug abusers, including individuals who are or may be charged with violating laws related to alcohol or drug use; or
  - 5. Education, counseling, public information efforts, and related and associated activities related to preventing drug abuse and alcohol abuse, including but not limited to efforts to encourage healthy youth and family development and related efforts which include as a partial element drug abuse and alcohol abuse education, counseling, or public information efforts; or
  - 6. Programs, activities, or efforts related to preventing or intervening in drug abuse and alcohol abuse, including programs, activities, or efforts for which drug abuse and alcohol abuse prevention or intervention comprises a partial element of the complete program, activity or effort; or
  - 7. Any program, activity, or effort, or a portion thereof, that the governing body determines seeks to discourage, prevent, intervene, or address issues related to alcohol or drug abuse. The appropriation of funds by the governing body for such a program, activity, or effort shall be conclusive of compliance with provisions of this ordinance, and separate findings shall not be required.
- B) All applicable federal, state, and local laws; and
- C) The application for funds, as approved and amended by the City Commission, which states:

1. The funds will be used toward salary and fringe benefit costs of a one half time (0.5 FTE) substance abuse counselor and a one-fourth time (0.25 FTE) peer support specialist;
2. The funds will be used to achieve the following program outcomes:
  - a) Process outcome – provide service to at least 450 women and 50 children;
  - b) Behavioral outcome – by the end of the program:
    - i. eighty-five percent (85%) of participants will report a decrease in substance use;
    - ii. eighty percent (80%) of participants will have acquired safe and supportive living conditions;
    - iii. sixty percent (60%) will be employed at service completion,
    - iv. sixty percent (60%) will successfully complete treatment.
  - c) Impact outcome - by the end of the program, 100% of participants will
    - i. have attained meaningful employment and/or be engaged in activities that allow them to be self-sufficient;
    - ii. will be engaged in supportive relationships;
    - iii. will have access to quality medical care that sustains their healthy lifestyle; and
    - iv. have safe and stable housing that ensures ongoing recovery for themselves and their children.

## II. Independent Contractor

The Independent Agency further agrees that it is an independent contractor of the City, and that the employees, agents, and vendors of the Independent Agency are not employees of the City.

## III. Disbursement of Funds

**First half of funds will not be disbursed before April 1, 2017 and second half of funds will not be disbursed before October 1, 2017.**

- A) The Independent Agency shall request disbursement of funds as follows:
  1. Requests for disbursements shall be made by the Independent Agency in writing, on the official letterhead of the agency;
  2. Unless otherwise agreed to in writing, requests shall be submitted no more than twice each year:
    - a) A request for fifty percent (50%) of the Independent Agency's total allocation shall be submitted **on or after March 1, 2017**;
    - b) A request for the remaining fifty percent (50%) of the Independent Agency's total allocation shall be submitted **on or after September 1, 2017**.
- B) The City retains the right to unilaterally adjust the amount of any disbursement if the City Commission determines that insufficient public funds exist to fully fund the agency at the level indicated in this agreement.

## IV. Reporting Requirements

The Independent Agency shall provide written reports to the City as follows:

- A) A cumulative progress report that describes the progress made towards meeting the goals and outcomes described in the Independent Agency's Application for Funding shall be **due February 15, 2018**.
- B) **If not submitted with an application for funding for the following calendar year**, the following documents shall be on file with the City within nine months of the end of the agency's last fiscal year:
  - 1. The agency's current IRS Form 990 (i.e. copy of Federal Tax Return) as well as a copy of the current corporate annual report filed with the Kansas Secretary of State – FORM NP (not-for-profit) filing.
  - 2. A copy of the agency's current financial audit. It is suggested that the agency adopt SFAS No. 117. This standard has been adopted by the Financial Accounting Standards Board as a preferred accounting method for non-profit corporations.
- C) The Independent Agency agrees to comply with K.S.A. 45-240, which requires not-for-profit entities receiving public funds to document and make available the receipt and expenditures of such funds. The requirements outlined in Section 4B are not meant to satisfy the terms of K.S.A. 45-240.

V. Anti-Discriminatory Practice

The Independent Agency must follow all applicable federal, state, and local laws regarding protected classes and may not refuse service to any persons based on race, sex, religion, color, national origin, age, ancestry, familial status, sexual orientation, gender identity, or a real or perceived disability.

VI. Retention and Access to Records

The Independent Agency will give the City, the City Auditor, or any authorized representatives of the City access to and the right to examine all records related to the expenditure of City funds.

The Agency shall keep financial records and all other records pertaining to this project being funded for a minimum of three (3) years. The City may, at its sole option, conduct an audit related to this funding agreement. The Independent Agency shall, upon City's request, make its records, employees, and property available promptly.

VII. Withholding of Payment

The City shall retain the authority to withhold any and all payments to the Independent Agency if, in the sole judgment of the City, the proposed or continued use of the funds violates the terms of this Agreement, any applicable law, or is contrary to the appropriate use of public funds.

**THE UNDERSIGNED, DULY REPRESENTING DCCCA, INC. d/b/a FIRST STEP AT LAKE VIEW, THE INDEPENDENT AGENCY OF THIS AGREEMENT, HEREBY AGREES TO THE CONDITIONS OF THIS AGREEMENT FOR THE RECEIPT, EXPENDITURE AND USE OF CITY FUNDS:**

Signature: Lori B. Alvarado

Print Name and Title: \_\_\_\_\_

STATE OF KANSAS )  
COUNTY OF DOUGLAS )

The foregoing Agreement was acknowledged before me this 28<sup>th</sup> day of November, 2020 by Lori B. Alvarado, representing DCCCA as the Independent Agency of this Agreement. In testimony whereof, I have hereunto set my hand and affixed my official seal on the day and year last above written.

Shannon D. Holloway  
Notary Public



My commission expires: 8-22-2020

**THE UNDERSIGNED FOR THE CITY OF LAWRENCE, KANSAS:**

Signature: Casey N. Toomay

Print Name and Title: Casey Toomay, Assistant City Manager

STATE OF KANSAS )  
COUNTY OF DOUGLAS )

The foregoing Agreement was acknowledged before me this 3rd day of March, 2017 by Casey N. Toomay representing the City of Lawrence, Kansas. In testimony whereof, I have hereunto set my hand and affixed my official seal on the day and year last above written.

Bobbie J. Walthall  
Notary Public

My commission expires: \_\_\_\_\_

