

**2019
KANSAS EMERGENCY SOLUTIONS GRANT APPLICATION**

SECTION I: SUB RECIPIENT APPLICANT INFORMATION (City, County)

Sub Recipient is responsible for the administration of the ESG and coordination of the ESG programs in the geographical jurisdiction.

A. APPLICANT INFORMATION

Sub Recipient City of Lawrence

Authorized Representative Lisa Larsen Title Mayor

Address PO Box 708 City Lawrence

State KS Zip 66044 Federal I.D. Number 48-6033520

Contact to whom questions about this application should be directed: Danelle Walters

Telephone 785-832-3108 Fax 785-832-3110

E-mail Address dwalters@lawrenceks.org

B. TOTAL ESG REQUEST (all applying agencies)

| ESG Agency | Street Outreach | Shelter | Homeless Prevention | Rapid Re-Housing | HMIS | Totals |
|-----------------------------|-----------------|----------|---------------------|------------------|-------|-----------|
| Willow Dom. Violence Center | \$ - | \$60,000 | \$ - | \$ - | \$ - | \$60,000 |
| Catholic Charities of NE KS | \$ - | \$ - | \$5,000 | \$52,000 | \$775 | \$57,775 |
| | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Sub Totals | \$ - | \$60,000 | \$5,000 | \$52,000 | \$775 | \$117,775 |

C. COUNTIES OF ESG SERVICES:

1. Douglas 4. _____
2. _____ 5. _____
3. _____ 6. _____

D. PERFORMANCE OUTCOME MEASURES

The U. S. Department of Housing and Urban Development (HUD) is instituting performance measures to gather information to determine the effectiveness of programs funded with CDBG, ESG, HOME and HOPWA. Information obtained on the local level will be reported to HUD which will enable HUD to describe performance results at the National Level. HUD’s outcome performance measurement system has three objectives and three outcomes which are listed below.

1. Select one of the following that best fits your project objective:

- Suitable living environment
- Decent affordable housing
- Creating economic opportunity

2. Select at least one of the following that describes the outcome your project will achieve:

(NOTE: Outcomes show how programs benefit a community or people served.)

- Availability/Accessibility (Applies to activities that make services, infrastructure, housing, shelter, or employment opportunities available or accessible to low income persons by improving or providing new services, etc.)
- Affordability (This applies to making an activity more affordable for low income persons.)
- Sustainability (Using ESG resources in a targeted area to help make that area more viable or livable.)

3. Please describe the process to establish and select the above objectives and outcomes. (Must be included)

Staff reached out to potential applicants when the application was released and two responded with intention to apply. Based on their applications submitted, it was determined that the suitable living environment was a theme through the applications, as well as the availability/accessibility outcome. This also follows suit with our CDBG priorities listed in the city’s Consolidated Plan.

Certification: To the best of my knowledge and belief, the data in this application are true and correct. This document has been duly authorized by the governing body of the applicant. The applicant will ensure compliance with the ESG regulations of 24 CFR Part 576, the Uniform Administrative Requirements Cost Principles and Audit Requirements for Federal Awards at 2 CFR part 200, the ESG grant agreement and federal and state regulations if assistance is approved.

Signature of Authorized Official of Governing Body

May 7, 2019

Date

Mayor
Title

STATEMENT ASSURING COMPLIANCE WITH APPLICABLE ENVIRONMENTAL REGULATIONS

The use of federal funds triggers the requirement of compliance with federal environmental regulations developed by the Department of Housing and Urban Development (HUD). These regulations, contained in 24 CFR Part 50 and Part 58 "Environmental Review Procedures for Recipients Assuming HUD Responsibilities", require compliance with the National Environmental Policy Act (NEPA), as well as several related federal laws, regulations and Executive Orders. In order to ensure compliance with these environmental regulations, the state shall require a complete description of all physical work to be undertaken, including specifications and drawings where applicable. This information is necessary to ensure that all environmental reviews and paperwork can be completed by the state in order to satisfy HUD requirements. No funds will be released, or vouchers paid for physical activities unless environmental clearance has been obtained from HUD. NO construction activities may occur without formal notification from the City that the release of environmental conditions has occurred.

All physical projects must comply, where applicable, with environmental requirements, guidelines and statutory obligations in the following areas, as outlined in 24 CFR Parts 50 and 58, Historic Properties Protection; Flood plain Management and Wetland Protection; Coastal Zone Management Requirements; Sole Source Aquifer Protection; Wildlife, Endangered Species, and Wild and Scenic River Protection; Water Quality Regulations; Air Quality; Solid Waste Management Regulations; Farmland Protection; and other HUD Environmental Standards.

All work plans will be reviewed to ensure compliance with applicable environmental standards. The following sections briefly outline the statutory compliance areas which will affect most physical activities undertaken with CDBG funds.

Historic Properties: All properties to be rehabilitated, renovated, demolished or physically changed in any manner will be reviewed to determine if they are contained on the State of Kansas Historic List. If a structure is contained on the Historic List, rehabilitation or renovation activities must adhere to the National Secretary of the Interior's Standards for Rehabilitation. Any proposed activity which does not adhere to the Secretary of the Interior's Standards must be reviewed by the Kansas State Historic Preservation Office (SHPO) prior to commencement of the activity. All requirements outlined by SHPO and, where necessary, the Advisory Council on Historic Preservation, must be met before funds can be released. These requirements also pertain to demolition activities.

Air Quality/Asbestos Abatement: Prior to any rehabilitation, demolition or heating and ventilation improvement activity, all areas to be disturbed must be inspected for the presence of asbestos containing materials (ACM's). If asbestos is found in areas to be disturbed, all asbestos-containing materials must be completely removed by a NESHAP certified asbestos removal contractor and disposed of in accordance with all local, state and federal laws and requirements prior to the commencement of any construction or demolition work. All records documenting compliance with local, state and federal laws and regulations must be presented to the state prior to the commencement of any construction activity to obtain the release of funds. If the inspection determines that no asbestos is present in the areas to be disturbed, a signed letter stating the date of inspection and the absence of asbestos must be presented to the state.

Lead-Based Paint Abatement: Prior to the rehabilitation of any residential structure or non-dwelling facility commonly used by children under seven years, all applicable surfaces of units constructed prior to 1978 shall be inspected to determine if lead-based paint surfaces exist. If defective lead-based painted surfaces are present, notification and abatement, in accordance with all local, state and federal laws and regulations must occur prior to the release of funds. If no lead-based paint is present, a signed letter, stating the date of inspection, the name of the inspector, and the absence of lead-based paint, must be presented to the state.

Other Applicable Statutory Requirements: If a property to be rehabilitated is located within a flood zone, wetland area or coastal zone, the proposed project must be reviewed to ensure consistency with applicable local, state and federal regulations. If the subject property is located in an airport clear zone or within an industrial/commercial area, the project must be reviewed to ensure that any potential site safety hazards are addressed.

To the best of your knowledge:

Does the proposed project area contain lead-based paint?

Yes No Unsure

Does the proposed project area contain asbestos containing materials?

Yes No Unsure

As the applicant, the undersigned assures the commitment to compliance with the environmental Regulations outlined by HUD.

Authorized Signature

Mayor
Title

May 7, 2019
Date

**CERTIFICATION OF LOCAL GOVERNMENT APPROVAL
FOR NONPROFIT ORGANIZATIONS RECEIVING
2019 EMERGENCY SOLUTIONS GRANT (ESG)**

I, Lisa Larsen, Mayor , duly authorized to act on behalf of the City of Lawrence , hereby approve the following emergency solutions grant activities proposed by Willow Domestic Violence Center, which are to be located in The City of Lawrence :

By: _____ May 7, 2019
Signature and Date

Lisa Larsen
Typed or Written Name of Signatory Local Official

Mayor
Title

**CERTIFICATION OF LOCAL GOVERNMENT APPROVAL
FOR NONPROFIT ORGANIZATIONS RECEIVING
2019 EMERGENCY SOLUTIONS GRANT (ESG)**

I, Lisa Larsen, Mayor , duly authorized to act on behalf of the City of Lawrence , hereby approve the following emergency solutions grant activities proposed by Catholic Charities of NE Kansas - Lawrence, which are to be located in The City of Lawrence ;

By: _____ May 7, 2019
Signature and Date

Lisa Larsen
Typed or Written Name of Signatory Local Official

Mayor
Title

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies to the best of his or her knowledge and belief that:

a. No federal appropriated funds have been paid or will be paid by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or any employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of an cooperative agreement and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan or cooperative agreement.

b. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan or cooperative agreement, the undersigned shall complete and submit Standard Form III "Disclosure Form to Report Lobbying", in accordance with its instructions.

c. The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans and cooperative agreements), and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352 title 31 U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Authorized Signature

Mayor

Title

May 7, 2019

Date

STATEMENT REGARDING DISCRIMINATION

The applicant agrees and warrants that in the performance of an executed third party contract with the state that it will not discriminate or permit discrimination against religious creed, age, marital status, national origin, sex, mental retardation or physical disability including, but not limited to, blindness, unless it can be shown by the applicant that such disability prevents performance of work involved in any manner prohibited by the laws of the United States or of the State of Kansas, and the applicant further agrees to provide the Commission on Equal Opportunities with such information requested by the Commission concerning the employment as they relate to the provisions of this section.

I, Lisa Larsen, do hereby certify that all the facts, figures and representations made in this application are true and correct, to the best of my knowledge and belief.

Authorized Signature

Mayor
Title

May 7, 2019
Date

SECTION IV: ESG APPLICATION (completed by each agency providing ESG services)

A) SUB RECIPIENT AGENCY

Sub recipient agency is required to be an existing Emergency Shelter, Homeless Services Provider or Other Program that provides services to homeless clients. It is also required to be a Local Government or nonprofit 501 (c) (3).

Agency's Legal Name: Catholic Charities of Northeast Kansas Federal ID#: 48-1181305

Street/P.O. Box: 9720 West 87th Street Phone # 913.633.2100

City: Overland Park State: Kansas Zip: 66212 County: Johnson

Chief Executive Officer: Lauren Solidum CEO Email: lsolidum@catholiccharitiesks.org

Chief Financial Officer: Anthony Funk CFO Email: afunk@catholiccharitiesks.org

ESG Contact Person: (name and title): Dawn R. Myers, Grants Manager

Email of ESG Contact Person: dmyers@catholiccharitiesks.org Phone # 913.433.2076

Alt. ESG Contact Person (required): (Name and Title): Denise Ogilvie, Vice President Outreach and Grants

Email of Alt. ESG Contact Person (required): dogilvie@catholiccharitiesks.org Phone # 913.433.2012

B. AGENCY TYPE:

- Day Shelter
- Emergency Shelter – 90 days or less
- Homeless Services Provider (Homeless Prevention or Rapid Re Housing)
- Street Outreach
- Other (Provide Description) _____

Please identify the **primary at risk** homeless category the program will serve. Please check the appropriate categories below:

- Chronically homeless Persons or families
- HIV/AIDS
- Elderly
- Veterans
- Mental Health
- Youth
- Domestic Violence Victims
- Substance abuse
- Other _____

C. PROGRAM PARTNERSHIPS

Please *identify and describe* all partnerships with other agencies related to service delivery to your identified program beneficiaries needs. Agency contact (name of person, email and phone) information *must* be included. (att. 1)

D. PROPOSED PROJECT ACCOMPLISHMENTS

Please list expected program accomplishment(s) if funding is awarded:
(*Accomplishments must be described in terms of households served, people served, etc.*)

PROPOSED ACCOMPLISHMENTS:

Total number of households to be served: 42
Total unduplicated individuals to be served: 125
Indicate the number of unduplicated adults to be served: 55
Indicate the number of unduplicated children to be served: 70

Prior ESG (if previous grantee) accomplishments: YES X NO

Total number of households to be served: 35
Total unduplicated individuals to be served: 95
Indicate the number of unduplicated adults to be served: 35
Indicate the number of unduplicated children to be served: 60

E. Attach 501 (C) 3 status letter (must be IRS letter) (att. 2)

F. Attach Certificate of Good Standing (must be copy of current certificate from Kansas Secretary of State) (att. 3)

G. Attach Certification of Local Unit of Government Approval Statement and Signature Form. (att. 4)

Agency Certification: To the best of my knowledge and belief, the data in this agency's portion of this ESG application are true and correct.



Signature

4/26/2019

Date

President and CEO
Title

SECTION V: ESG ACTIVITY FUNDING

Please provide details on EACH activity your agency will provide if funded.

A. Street Outreach

| Street Outreach | Amount Requested |
|----------------------------------|-------------------------|
| Engagement Activities | |
| Case Management | |
| Emergency Health Services | |
| Emergency Mental Health Services | |
| Transportation | |
| TOTAL | |

Street Outreach: Please provide a detailed description of your program and service delivery.

B. Emergency Shelter

| Emergency Shelter | Amount Requested |
|--|-------------------------|
| Essential Services | |
| Renovation Activities | |
| Shelter Operations | |
| Vouchers (Hotel or Motel where ES unavailable) | |
| TOTAL | |

Emergency Shelter: Please provide a detailed description of your program and service delivery

C. Homeless Prevention

| Homeless Prevention | Amount Requested |
|--|-------------------------|
| Rental Assistance (Short-Term / Medium-Term) | \$ 2,750 |
| Utility Assistance | \$ 500 |
| Rental Arrears (1-time payments of up to 6 months) | |
| Security Deposits (up to 2 months) | |
| Moving Costs | |
| Services Costs – case management | \$1,750 |
| | \$5,000 |

Homeless Prevention: Please provide a detailed description of your program and service delivery

The Homeless Prevention Program through the Emergency Solutions Grant provides rental assistance, utility assistance and supportive services directly related to the prevention of homelessness for eligible individuals and families who are in the process of eviction, homelessness or utility disconnect. The program is designed to stabilize and divert from homelessness for individuals and families through proactive planning and advocacy.

Program participation income guidelines are set at 30% of Area Median Income. Households must offer documentation to support a temporary economic crisis beyond their control and must be able to demonstrate an ability to meet the prospective rental/utility obligations after the assistance has been granted.

Catholic Charities continues to offer in-depth and comprehensive case management to engage in the Homeless Prevention Program to ensure once the crisis is addressed and overcome that the clients have the tools and resources to prevent further threats to secured housing.

Community partnerships are a major tool to stabilizing individuals and families in Johnson County. Catholic Charities has developed extensive support partnerships within the community to ensure any and all potential income, housing, employment supports within the community are exhausted for each client to ensure long term housing and financial success.

Services include:

1. Payment of rent arrears to prevent eviction (6 months maximum).
2. Payment of rental assistance (up to 3 months)
3. Payment of utility bills and arrearage (6 months maximum).
4. Supportive services to prevent homelessness or repeated episodes of homelessness, include:
 - i. Housing Location/Inspection
 - ii. Job Preparation/Employment Referral
 - iii. Landlord mediation
 - iv. Financial Counseling
 - v. Outreach
 - vi. Follow-up
 - vii. Case Management

D. Rapid Re-Housing

| Rapid Re-Housing | Amount Requested |
|--|-------------------------|
| Rental Assistance (Short-Term / Medium-Term) | \$ 27,000 |
| Utility Assistance | \$ 2,000 |
| Rental Arrears (1-time payments of up to 6 months) | \$ 2,300 |
| Security Deposits (up to 2 months) | \$ 2,500 |
| Moving Costs | |
| Services Costs – Case Management | \$ 18,200 |
| | \$ 52,000 |

Rapid Re-Housing: Please provide a detailed description of your program and service delivery

Catholic Charities of Northeast Kansas (CCNEK) continues to be a partner in the fight against homelessness in Northeastern Kansas through its membership in the Continuum of Care and appropriate coordinated entry for each area. CCNEK continues to administer Rapid Rehousing through five communities with continued need for services and through collaboration with local grant programming.

CCNEK continues to specialize in in-depth case management programs and staff Emergency Assistance Centers with case managers trained in Trauma Informed Care, Crisis Intervention, Mental Health 101 and Strengths Based Case Management. Clients are screened for all available resources and service and paired with a case manager familiar with housing and housing challenges for each area. Clients remain paired with the case manager throughout the housing and follow-up process to ensure clients are served with dignity and respect and have the opportunity to build a level of confidence and trust with the case manager to ensure long-term housing success outcomes.

The Rapid Re-Housing Program will provide financial assistance and services to assist individuals and families who are experiencing homelessness be quickly re-housed and stabilized. The funds under this program are intended to target individuals and families who would remain homeless without this assistance.

The funds will provide assistance including:

1. Rental application fees
2. Short-term (up to 3 months) or medium-term (up to 6 months) rental assistance
3. Housing relocation
4. Payment of rent arrears (3 months maximum).
5. Payment of a rent or security deposit (2 months maximum).
6. Payment of utility bills and arrearage (3 months maximum).
7. Supportive services to repeated episodes of homelessness, include:
 - i. Housing Location/Inspection
 - ii. Job Preparation/Employment Referral
 - iii. Financial Counseling
 - iv. Outreach
 - v. Follow-up
- vi. Intensive Case Management

E. HMIS

| HMIS – up to 1.5% of the total ESG funds requested | Amount Requested |
|--|------------------|
| Hardware / Software | |
| Equipment Costs | |
| Data Entry / Analysis | 775.00 |
| Data Quality | |
| Training | |
| Reporting | |
| TOTAL | 775.00 |

HMIS: Please provide a ***detailed description*** of your program and service delivery

The HMIS system to be used for ESG is MAACLink, client management software of the Mid-America Assistance Coalition. MAACLink is used to confidently aggregate data on homeless population served. The use of MAACLink achieves the following:

- Reduction of duplication of services.
- Confirms eligibility for services.
- Limited assistance resources are stretched to meet the needs of the greatest number of clients
- Information about unmet needs is captured.
- Reports on services, programs, and outcomes can be generated
- Comparative data can evaluate the community’s response to the needs of low-income citizens.

F. MATCH REQUIREMENTS

ESG requires a 100% match. The sub recipient must make matching contributions to supplement the ESG program in an amount that equals the amount of ESG funds provided by KHRC. The sub recipient must identify the source of match at the time of applying for ESG.

Matching contributions may be obtained from any source, including any federal source other than the ESG program, as well as state, local, and private sources. However, the following requirements apply to matching contributions from a federal source of funds:

- The sub recipient must ensure the laws governing any funds to be used as matching contributions do not prohibit those funds from being used to match Emergency Solutions Grant (ESG) funds.
- If ESG funds are used to satisfy the matching requirements of another federal program, then funding from that program may not be used to satisfy the matching requirements under this section.

The sub recipient may count as match the value specified in 2 CFR 200.306(d) for any building the recipient or subrecipient donates for long-term use in the recipient's ESG program, provided that depreciation on the building is not counted as match or charged to any Federal award. If a third party donates a building to the recipient or subrecipient, the recipient may count as match either depreciation of the building and fair rental charges for the land for each year the building is used for the recipient's ESG program or, if the building is donated for long-term use in the recipient's ESG program, the fair market value of the capital assets, as specified in 2 CFR 200.306(h)(2), (i), and (j). To qualify as a donation for long-term use, the donation must be evidenced by a recorded deed or use restriction that is effective for at least 10 years after the donation date. If the donated building is renovated with ESG funds, the minimum period of use under §576.102(c) may increase the period for which the building must be used in the recipient's ESG program.

(d) *Eligible types of matching contributions.* The matching requirement may be met by one or both of the following:

(1) Cash contributions. Cash expended for allowable costs, as defined in OMB Circulars A-87 (2 CFR part 225) and A-122 (2 CFR part 230), of the recipient or subrecipient.

(2) Noncash contributions. The value of any real property, equipment, goods, or services contributed to the recipient's or subrecipient's ESG program, provided that if the recipient or subrecipient had to pay for them with grant funds, the costs would have been allowable. Noncash contributions may also include the purchase value of any donated building.

(e) *Calculating the amount of noncash contributions.* (1) To determine the value of any donated material or building, or of any lease, the recipient must use a method reasonably calculated to establish the fair market value.

(2) Services provided by individuals must be valued at rates consistent with those ordinarily paid for similar work in the recipient's or subrecipient's organization. If the recipient or subrecipient does not have employees performing similar work, the rates must be consistent with those ordinarily paid by other employers for similar work in the same labor market.

(3) Some noncash contributions are real property, equipment, goods, or services that, if the recipient or subrecipient had to pay for them with grant funds, the payments would have been indirect costs. Matching credit for these contributions must be given only if the recipient or subrecipient has established, along with its regular indirect cost rate, a special rate for allocating to individual projects or programs the value of those contributions.

(f) *Costs paid by program income.* Costs paid by program income shall count toward meeting the recipient's matching requirements, provided the costs are eligible ESG costs that supplement the recipient's ESG program.

Match Certification form (att. 5)

SECTION VI: AGENCY CAPACITY

A. How long has your organization served homeless populations?

- 10 or more years
 5-9 years
 Less than 5 years

B. Is your organization an active member of any of the following? Please check those that apply.

- Balance of State Continuum of Care:
 Regional/Local Planning Meetings
 State/Local Consolidated Plan Process
 Regional/Local Homeless Committees

1. **Please provide documentation of membership to organizations (letter signed by organization chair). (att. 6)**

C. How does sub recipient agency staff participate in these meetings/groups? Please describe level of involvement.

Kim Brabits, Vice President of Operations, , Dan DeLeon EAC Manager Johnson County, Maria Reyes, EAC Manager Wyandotte, Tama Dutton Regional Director, Balance of State, Jackie Masoner, EAC Manager, Leavenworth, Dawn Myers, Grants Manager actively participate in all CoC meetings and coordinated entry processes.

D. Briefly describe the organization's board of director's fiscal oversight committee. How many members does it have, how often does it meet, and what are its responsibilities? Are there policies and procedures? If so, what entity is responsible for oversight?

The Board of Directors finance subcommittee consists of 8 board members, led by the Board Treasurer who serves as the committee chair. The committee reviews agency and program financial reports on a semi-monthly basis. The audit subcommittee is responsible for contracting auditors and serves as liaison between the auditing firm, the Board, and agency staff.

1. **Attach List of Board Members for sub recipient agency (att. 7)**

2. **Attach an Organization Chart of sub recipient agency (att. 8)**

E. What type of financial management system does the organization have? Describe the organization's system of checks and balances in its fiscal management. What is the division of responsibilities to ensure good fiscal oversight? Explain who maintains the organization's accounting records and if there is a software system utilized, please be specific as to the type and capabilities of the software or accounting system.

The Catholic Charities' accounting system follows accounting principles generally accepted in the United States of America. The overall system is an organized set of accounting methods, procedures, and controls established to gather, record, classify, analyze, summarize, interpret, and present accurate and timely financial data for stakeholders, both within and outside our organization. The organization utilizes the Sage 100 ERP software to manage accounts and data.

Grants management also oversees grant compliance and administration, making sure client files and client eligibility paperwork is proper. The Case Manager will send monthly spending documentation to the accounting department and client eligibility paperwork to the Compliance team on a monthly basis. The accounting department will prepare reimbursement requests after ensuring that all expenses are in compliance. The Grants Manager will monitor monthly financial reports from accounting that detail out the spending on the grants (direct assistance and payroll). The Grant Manger/Accounting Team will maintain a file with all expenses and reporting documentation for audit. This division of responsibility ensures a system of checks and balances with regard to grant spending and reporting that includes program staff, accounting and grant manager.

1. **Attach a copy of the agency's most recent audit. (att. 9)**

2. **Attach a completed W-9 Form for Sub recipient. (att. 10)**

F. Does your agency have pending civil or criminal proceedings filed or being processed currently or have been processed over the past three years? If the answer is “yes” an explanation must be provided with official documentation or court record that demonstrates the status of the issue: **None**

G. Provide an address and physical description of the shelter and/or service delivery site.
Domestic Violence providers provide only physical description:
1525 W 6th Street, Lawrence, Kansas 66044

H. Explain how your agency will identify and document homeless status of a client. Per 576.500 Recordkeeping and reporting requirements (b) Homeless Status:

Catholic Charities will use the HUD definition of homelessness as well as documentation specified by the HUD record keeping requirements. Catholic Charities will complete the eligibility paperwork with each client to determine status prior to administering assistance.

I. Are there any current HUD findings against the agency? If yes, please explain: **None**

J. Attach a copy of all program rules and policies. **(att. 11)**

K. Attach agency termination / grievance policy and procedures - Per 576.402 Terminating assistance. **(att. 12)**

SECTION VII: PERFORMANCE OUTCOMES

A. Written Standards for Provision of ESG Assistance

1. Standard policies and procedures for evaluating individuals' and families' eligibility for assistance under Emergency Solutions Grant (ESG). The policies and procedures must be consistent with the recordkeeping requirements and definitions of "homeless" and "at-risk of homelessness" in the federal ESG regulations at: 24 CFR 576.2 and 24 CFR 576.500 (b-e).
2. Standards for targeting and providing essential services related to street outreach.
3. Policies and procedures for admission, diversion, referral, and discharge by emergency shelters assisted under ESG, including standards regarding length of stay, if any, and safeguards to meet the safety and shelter needs of special populations, (e.g., victims of domestic violence, dating violence, sexual assault, and stalking; and individuals and families who have the highest barriers to housing and are likely to be homeless the longest).
4. Policies and procedures for assessing, prioritizing, and reassessing individuals' and families' needs for essential services related to emergency shelter.
5. Policies and procedures for coordination among emergency shelter providers, essential services providers, homelessness prevention, and rapid re-housing assistance providers; other homeless assistance providers; and mainstream service and housing providers. The required coordination may be done over an area covered by the Continuum of Care or a larger area.
6. Policies and procedures for determining and prioritizing which eligible families and individuals will receive homelessness prevention assistance and which eligible families and individuals will receive rapid re-housing assistance. For homeless prevention, include the risk factors used to determine who would be most in need of this assistance to avoid becoming homeless.
7. Standards for determining what percentage or amount (if any) of rent and utilities costs each program participant must pay while receiving homelessness prevention or rapid re-housing assistance. If the assistance will be based on a percentage of the participant's income, specify this percentage, and how income will be calculated.
8. Standards for determining how long a particular program participant will be provided with rental assistance and whether and how the amount of that assistance will be adjusted over time. One-year lease required for project-based assistance. Annual participant evaluations required with rapid re-housing assistance; three-month evaluations required with homeless prevention assistance. Individual assistance cannot exceed 24 months in a three-year period.
9. Standards for determining the type, amount, and duration of housing stabilization and/or relocation services to provide to a program participant, including the limits, if any, on the homelessness prevention or rapid re-housing assistance that each program participant may receive, such as the maximum amount of assistance, maximum number of months the program participant may receive assistance, or the maximum number of times the program participant may receive assistance. Note: ESG regulations limit this assistance to no more than 24 months in a three-year period. Housing stability case management is limited as specified on pp. 75979-80 of the federal regulations.
10. *Participation in HMIS.* The recipient must ensure that data on all persons served and all activities assisted under ESG are entered into the applicable community-wide HMIS in the area in which those persons and activities are located, or a comparable database, in accordance with HUD's standards on participation, data collection, and reporting under a local HMIS.

Please certify you have established applicable Written Standards for ESG.

(Do not attach at this time, agencies will be required to submit standards before receiving an award). (att.13)

Name Lauren Solidum Title President and CEO

Signature  Date 4/26/2019

MINIMUM HABITABILITY STANDARDS FOR EMERGENCY SHELTERS: CHECKLIST**2019 ESG: (att. 15)**

The Emergency Solutions Grants (ESG) Program Interim Rule establishes different habitability standards for emergency shelters and for permanent housing (the Rapid Re-housing and Homelessness Prevention components).

- **Emergency Shelter Standards.**
 - Emergency shelters that receive ESG funds for renovation or shelter operations must meet the minimum standards for safety, sanitation, and privacy provided in §576.403(b).
 - In addition, emergency shelters that receive ESG funds for renovation (conversion, major rehabilitation, or other renovation) also must meet state or local government safety and sanitation standards, as applicable.
- **Permanent Housing Standards.** The recipient or subrecipient cannot use ESG funds to help a program participant remain in or move into housing that does not meet the minimum habitability standards under §576.403(c). This restriction applies to all activities under the Homelessness Prevention and Rapid Re-housing components.

Recipients and subrecipients must document compliance with the applicable standards. Note that these checklists do not cover the requirements to comply with the Lead-Based Paint requirements at §576.403(a). For more discussion about how and when the standards apply, see *ESG Minimum Standards for Emergency Shelters and Permanent Housing*, located at <http://OneCPD.info/esg>.

The checklists below offer an optional format for documenting compliance with the appropriate standards. These are intended to:

1. Provide a clear summary of the requirements and an adaptable tool so recipients and subrecipients can formally assess their compliance with HUD requirements, identify and carry out corrective actions, and better prepare for monitoring visits by HUD staff.
2. Provide a tool for a recipient to monitor that its subrecipient is in compliance with HUD requirements. Where non-compliance is identified, the ESG recipient can use this information to require or assist the subrecipient to make necessary changes.

Prior to beginning the review, the subrecipient should organize relevant files and documents to help facilitate their review. For instance, this may include local or state inspection reports (fire-safety, food preparation, building/occupancy, etc.), or policy and procedure documents related to emergency shelter facility maintenance or renovations.

Carefully read each statement and indicate the shelter's or unit's status for each requirement (Approved or Deficient). Add any comments and corrective actions needed in the appropriate box. The reviewer should complete the information about the project, and sign and date the form. This template includes space for an "approving official," if the recipient or subrecipient has designated another authority to approve the review. When the assessment is complete, review it with program staff and develop an action plan for addressing any areas requiring corrective action.

MINIMUM STANDARDS FOR EMERGENCY SHELTERS

Instructions: Place a check mark in the correct column to indicate whether the property is approved or deficient with respect to each standard. A copy of this checklist should be placed in the shelter’s files.

| Approved | Deficient | Standard (24 CFR part 576.403(b)) |
|----------|-----------|--|
| | | 1. <i>Structure and materials:</i> a. The shelter building is structurally sound to protect the residents from the elements and not pose any threat to the health and safety of the residents. b. Any renovation (including major rehabilitation and conversion) carried out with ESG assistance uses Energy Star and Water Sense products and appliances. |
| | | 2. <i>Access.</i> Where applicable, the shelter is accessible in accordance with: a. Section 504 of the Rehabilitation Act (29 U.S.C. 794) and implementing regulations at 24 CFR part 8; b. The Fair Housing Act (42 U.S.C. 3601 et seq.) and implementing regulations at 24 CFR part 100; and c. Title II of the Americans with Disabilities Act (42 U.S.C. 12131 et seq.) and 28 CFR part 35. |
| | | 3. <i>Space and security:</i> Except where the shelter is intended for day use only, the shelter provides each program participant in the shelter with an acceptable place to sleep and adequate space and security for themselves and their belongings. |
| | | 4. <i>Interior air quality:</i> Each room or space within the shelter has a natural or mechanical means of ventilation. The interior air is free of pollutants at a level that might threaten or harm the health of residents. |
| | | 5. <i>Water Supply:</i> The shelter’s water supply is free of contamination. |
| | | 6. <i>Sanitary Facilities:</i> Each program participant in the shelter has access to sanitary facilities that are in proper operating condition, are private, and are adequate for personal cleanliness and the disposal of human waste. |
| | | 7. <i>Thermal environment:</i> The shelter has any necessary heating/cooling facilities in proper operating condition. |
| | | 8. <i>Illumination and electricity:</i> a. The shelter has adequate natural or artificial illumination to permit normal indoor activities and support health and safety. b. There are sufficient electrical sources to permit the safe use of electrical appliances in the shelter. |
| | | 9. <i>Food preparation:</i> Food preparation areas, if any, contain suitable space and equipment to store, prepare, and serve food in a safe and sanitary manner. |
| | | 10. <i>Sanitary conditions:</i> The shelter is maintained in a sanitary condition. |
| | | 11. <i>Fire safety:</i> a. There is at least one working smoke detector in each occupied unit of the shelter. Where possible, smoke detectors are located near sleeping areas. b. All public areas of the shelter have at least one working smoke detector. c. The fire alarm system is designed for hearing-impaired residents. d. There is a second means of exiting the building in the event of fire or other emergency. |
| | | 12. If ESG funds were used for renovation or conversion, the shelter meets state or local government safety and sanitation standards, as applicable. |
| | | 13. Meets additional recipient/subrecipient standards (if any). |

CERTIFICATION STATEMENT

I certify that I have evaluated the property located at the address below to the best of my ability and find the following:

- Property meets all of the above standards.
- Property does not meet all of the above standards.

COMMENTS:

ESG Recipient Name: **Kansas Housing Resources Corporation**

ESG Subrecipient Name (if applicable): _____

Emergency Shelter Name: _____

Street Address: _____

City: _____ State: _____ Zip: _____

Evaluator Signature: _____ Date of review: _____

Evaluator Name: _____

Approving Official Signature (if applicable): _____ Date: _____

Approving Official Name (if applicable): _____


CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies to the best of his or her knowledge and belief that:

- a. No federal appropriated funds have been paid or will be paid by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or any employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of an cooperative agreement and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan or cooperative agreement.
- b. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan or cooperative agreement, the undersigned shall complete and submit Standard Form III "Disclosure Form to Report Lobbying", in accordance with its instructions.
- c. The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans and cooperative agreements), and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352 title 31 U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.



Authorized Signature

President and CEO _____
Title

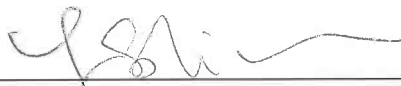
4/26/2019

Date

STATEMENT REGARDING DISCRIMINATION

The applicant agrees and warrants that in the performance of an executed third party contract with the state that it will not discriminate or permit discrimination against religious creed, age, marital status, national origin, sex, mental retardation or physical disability including, but not limited to, blindness, unless it can be shown by the applicant that such disability prevents performance of work involved in any manner prohibited by the laws of the United States or of the State of Kansas, and the applicant further agrees to provide the Commission on Equal Opportunities with such information requested by the Commission concerning the employment as they relate to the provisions of this section.

I, Lauren Solidum (the duly authorized representative of the applicant) do hereby certify that all the facts, figures and representations made in this application are true and correct, to the best of my knowledge and belief.



Authorized Signature

President and CEO

Title

Title

4/26/2019

Date

STATEMENT ASSURING COMPLIANCE WITH APPLICABLE ENVIRONMENTAL REGULATIONS

The use of federal funds triggers the requirement of compliance with federal environmental regulations developed by the Department of Housing and Urban Development (HUD). These regulations, contained in 24 CFR Part 50 and Part 58 "Environmental Review Procedures for Recipients Assuming HUD Responsibilities", require compliance with the National Environmental Policy Act (NEPA), as well as several related federal laws, regulations and Executive Orders. In order to ensure compliance with these environmental regulations, the state shall require a complete description of all physical work to be undertaken, including specifications and drawings where applicable. This information is necessary to ensure that all environmental reviews and paperwork can be completed by the state in order to satisfy HUD requirements. No funds will be released, or vouchers paid for physical activities unless environmental clearance has been obtained from HUD. NO construction activities may occur without formal notification from the City that the release of environmental conditions has occurred.

All physical projects must comply, where applicable, with environmental requirements, guidelines and statutory obligations in the following areas, as outlined in 24 CFR Parts 50 and 58, Historic Properties Protection; Flood plain Management and Wetland Protection; Coastal Zone Management Requirements; Sole Source Aquifer Protection; Wildlife, Endangered Species, and Wild and Scenic River Protection; Water Quality Regulations; Air Quality; Solid Waste Management Regulations; Farmland Protection; and other HUD Environmental Standards.

All work plans will be reviewed to ensure compliance with applicable environmental standards. The following sections briefly outline the statutory compliance areas which will affect most physical activities undertaken with CDBG funds.

Historic Properties: All properties to be rehabilitated, renovated, demolished or physically changed in any manner will be reviewed to determine if they are contained on the State of Kansas Historic List. If a structure is contained on the Historic List, rehabilitation or renovation activities must adhere to the National Secretary of the Interior's Standards for Rehabilitation. Any proposed activity which does not adhere to the Secretary of the Interior's Standards must be reviewed by the Kansas State Historic Preservation Office (SHPO) prior to commencement of the activity. All requirements outlined by SHPO and, where necessary, the Advisory Council on Historic Preservation, must be met before funds can be released. These requirements also pertain to demolition activities.

Air Quality/Asbestos Abatement: Prior to any rehabilitation, demolition or heating and ventilation improvement activity, all areas to be disturbed must be inspected for the presence of asbestos containing materials (ACM's). If asbestos is found in areas to be disturbed, all asbestos-containing materials must be completely removed by a NESHAP certified asbestos removal contractor and disposed of in accordance with all local, state and federal laws and requirements prior to the commencement of any construction or demolition work. All records documenting compliance with local, state and federal laws and regulations must be presented to the state prior to the commencement of any construction activity to obtain the release of funds. If the inspection determines that no asbestos is present in the areas to be disturbed, a signed letter stating the date of inspection and the absence of asbestos must be presented to the state.

Lead-Based Paint Abatement: Prior to the rehabilitation of any residential structure or non-dwelling facility commonly used by children under seven years, all applicable surfaces of units constructed prior to 1978 shall be inspected to determine if lead-based paint surfaces exist. If defective lead-based painted surfaces are present, notification and abatement, in accordance with all local, state and federal laws and regulations must occur prior to the release of funds. If no lead-based paint is present, a signed letter, stating the date of inspection, the name of the inspector, and the absence of lead-based paint, must be presented to the state.

Other Applicable Statutory Requirements: If a property to be rehabilitated is located within a flood zone, wetland area or coastal zone, the proposed project must be reviewed to ensure consistency with applicable local, state and federal regulations. If the subject property is located in an airport clear zone or within an industrial/commercial area, the project must be reviewed to ensure that any potential site safety hazards are addressed.

To the best of your knowledge:

Does the proposed project area contain lead-based paint?

_____ Yes No _____ Unsure

Does the proposed project area contain asbestos containing materials?

_____ Yes No _____ Unsure

As the applicant, the undersigned assures the commitment to compliance with the environmental Regulations outlined by HUD.



Authorized Signature

President and CEO
Title

4/26/2019
Date

2019

KANSAS EMERGENCY SOLUTIONS GRANT APPLICATION

SECTION I: SUB RECIPIENT APPLICANT INFORMATION (City, County)

Sub Recipient is responsible for the administration of the ESG and coordination of the ESG programs in the geographical jurisdiction.

A. APPLICANT INFORMATION

Sub Recipient The Willow Domestic Violence Center

Authorized Representative Megan Stuke Title Executive Director

Address 1920 Moodie Rd. City Lawrence

State KS Zip 66046 Federal I.D. Number 48-0853356

Contact to whom questions about this application should be directed: Alicia Cusano-Weissenbach

Telephone 785-331-2034 x 109 Fax 785-856-2043

E-mail Address acusano@willowdvcenter.org

B. TOTAL ESG REQUEST (all applying agencies)

| ESG Agency | Street Outreach | Shelter | Homeless Prevention | Rapid Re-Housing | HMIS | Totals |
|----------------------|-----------------|-------------|---------------------|------------------|------|-------------|
| The Willow DV Center | \$ - | \$60,000.00 | \$ - | \$ - | \$ - | \$60,000.00 |
| | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Sub Totals | \$ - | \$60,000.00 | \$ - | \$ - | \$ - | \$60,000.00 |

C. COUNTIES OF ESG SERVICES:

1. Douglas 4. _____

2. Jefferson 5. _____

3. Franklin 6. _____

D. PERFORMANCE OUTCOME MEASURES

The U. S. Department of Housing and Urban Development (HUD) is instituting performance measures to gather information to determine the effectiveness of programs funded with CDBG, ESG, HOME and HOPWA. Information obtained on the local level will be reported to HUD which will enable HUD to describe performance results at the National Level. HUD's outcome performance measurement system has three objectives and three outcomes which are listed below.

1. Select one of the following that best fits your project objective:

- Suitable living environment
- Decent affordable housing
- Creating economic opportunity

2. Select at least one of the following that describes the outcome your project will achieve:

(NOTE: Outcomes show how programs benefit a community or people served.)

- Availability/Accessibility (Applies to activities that make services, infrastructure, housing, shelter, or employment opportunities available or accessible to low income persons by improving or providing new services, etc.)
- Affordability (This applies to making an activity more affordable for low income persons.)
- Sustainability (Using ESG resources in a targeted area to help make that area more viable or livable.)

3. Please describe the process to establish and select the above objectives and outcomes. (Must be included)

Certification: To the best of my knowledge and belief, the data in this application are true and correct. This document has been duly authorized by the governing body of the applicant. The applicant will ensure compliance with the ESG regulations of 24 CFR Part 576, the Uniform Administrative Requirements Cost Principles and Audit Requirements for Federal Awards at 2 CFR part 200, the ESG grant agreement and federal and state regulations if assistance is approved.

Megan Perwa
Signature of Authorized Official of Governing Body

4-26-19
Date

Executive Director
Title

SECTION II: ESG PROGRAM DESCRIPTION**EMERGENCY SOLUTIONS GRANTS (ESG)****CFDA Number: 14.231****Agency: Department of Housing and Urban Development****Office: Office of Community Planning and Development****(24 CFR Part 576 – Emergency Solutions Grants Program)****Objectives:**

The Emergency Solutions Grants Program (ESG) program provides funding to: (1) engage homeless individuals and families living on the street; (2) improve the number and quality of emergency shelters for homeless individuals and families; (3) help operate these shelters; (4) provide essential services to shelter residents, (5) rapidly re-house homeless individuals and families, and (6) prevent families and individuals from becoming homeless.

Eligibility Requirements:**Applicant Eligibility:**

Kansas Housing Resources Corporation (KHRC) as a State Recipient must sub grant all of their ESG funds (except for funds for administrative costs and, under certain conditions, HMIS costs) to units of general-purpose local government and/or private nonprofit organizations. KHRC as recipient must consult with the Continuum(s) of Care operating within the jurisdiction in determining how to allocate ESG funds.

Beneficiary Eligibility:

The minimum eligibility criteria for ESG beneficiaries are as follows: For essential services related to street outreach, beneficiaries must meet the criteria under paragraph (1)(i) of the “homeless” definition under § 576.2. For emergency shelter, beneficiaries must meet the “homeless” definition in 24 CFR 576.2. For essential services related to emergency shelter, beneficiaries must be “homeless” and staying in an emergency shelter (which could include a day shelter). For homelessness prevention assistance, beneficiaries must meet the requirements described in 24 CFR 576.103. For rapid re-housing assistance, beneficiaries must meet requirements described in 24 CFR 576.104. Further eligibility criteria may be established at the local level in accordance with 24 CFR 576.400(e).

Credentials/Documentation:

Recipients must certify they will meet program requirements and applicable federal requirements. Government recipients and sub recipients must comply with Uniform Administrative Requirements Cost Principles and Audit Requirements for Federal Awards at 2 CFR part 200.

Regulations, Guidelines, and Literature:

The program regulations can be found at 24 CFR Part 576. Guidance on the program can be found at www.hudexchange.info/esg.

SECTION III: ESG PROGRAM COMPONENTS AND ELIGIBLE ACTIVITIES

Emergency Solution Grant Funding can be used for five program activities: street outreach, emergency shelter, homelessness prevention, rapid re-housing assistance, and HMIS; as well as administrative activities.

A) **Street Outreach** – Funds may be used for costs of providing essential services necessary to reach out to unsheltered homeless people; connect them with emergency shelter, housing, or critical services; and provide urgent non-facility-based care to unsheltered homeless people who are unwilling or unable to access emergency shelter, housing or an appropriate health facility.

B) **Emergency Shelter** – Funds may be used for costs of providing essential services to families and individuals in emergency shelters, renovating buildings to be used as emergency shelter for homeless families and individuals, and operating emergency shelters.

Eligible Activities:

C) **Homeless Prevention** – Funds may be used to provide housing relocation and stabilization services and short- or medium-term rental assistance necessary to prevent an individual or family from moving into an emergency shelter and prevent incidences of homelessness.

D) **Rapid Re-Housing** – ESG funds may be used to provide housing relocation and stabilization services and short- or medium-term rental assistance necessary to help a homeless individual or family move as quickly as possible into permanent housing and achieve stability in that housing.

E) **HMIS** – ESG funds may be used to pay the costs of contributing to the HMIS designated by the Continuum of Care for the area including the costs of purchasing hardware, software licenses or equipment, obtaining technical support, completing data entry and analysis, monitoring and reviewing data quality, training, reporting, and coordinating and integrating the system. The HMIS funds will be limited to 1.5% of the total funds awarded.

Assistance to Eligible Persons/Households funded through the Emergency Solutions Grant cannot exceed 24 months of rental assistance, 24 months of utility payment assistance or 6 months of arrears (utility/rent) during any three-year period. Evaluation and documentation of client eligibility for financial assistance shall be reassessed at least every three months for program participants receiving homelessness prevention assistance and not less than once annually for program participants receiving rapid re-housing assistance (576.401 (b)) to ensure they meet the eligibility criteria, review program and appropriateness standards and to re-evaluate the continuation of services.

F) **ADMINISTRATION** - KHRC as the recipient may use up to 7.5 percent of its ESG grant for the payment of administrative costs related to the planning and execution of ESG activities. This does not include staff and overhead costs directly related to carrying out activities eligible under ESG, because those costs are eligible as part of those activities. As such KHRC will provide 2.5% of ESG awarded funds as administrative costs to all ESG Sub recipients. (576.108. (4) (B))

SECTION IV: ESG APPLICATION (completed by each agency providing ESG services)

A) SUB RECIPIENT AGENCY

Sub recipient agency is required to be an existing Emergency Shelter, Homeless Services Provider or Other Program that provides services to homeless clients. It is also required to be a Local Government or nonprofit 501 (c) (3).

Agency's Legal Name: The Willow Domestic Violence Center Federal ID#: 48-0853356

Street/P.O. Box: 1920 Moodie Rd. Phone # 785-331-2034

City: Lawrence State: KS Zip: 66046 County: Douglas

Chief Executive Officer: Megan Stuke CEO Email: mstuke@willowdvcenter.org

Chief Financial Officer: Grecia Rucoba CFO Email: grucoba@willowdvcenter.org

ESG Contact Person: (name and title): Megan Stuke

Email of ESG Contact Person: mstuke@willowdvcenter.org Phone # 785-331-2034 x 106

Alt. ESG Contact Person (required): (Name and Title): Alicia Cusano-Weissenbach, Director of Training and Volunteers

Email of Alt. ESG Contact Person (required): acusano@willowdvcenter.org Phone # 785-331-2034 x 109

B. AGENCY TYPE:

- Day Shelter
- Emergency Shelter – 90 days or less
- Homeless Services Provider (Homeless Prevention or Rapid Re Housing)
- Street Outreach
- Other (Provide Description) _____

Please identify the **primary at risk** homeless category the program will serve. Please check the appropriate categories below:

- Chronically homeless Persons or families
- HIV/AIDS
- Elderly
- Veterans
- Mental Health
- Youth
- Domestic Violence Victims
- Substance abuse
- Other _____

C. PROGRAM PARTNERSHIPS

Please *identify and describe* all partnerships with other agencies related to service delivery to your identified program beneficiaries needs. Agency contact (name of person, email and phone) information *must* be included. (att. 1)

D. PROPOSED PROJECT ACCOMPLISHMENTS

Please list expected program accomplishment(s) if funding is awarded:
(*Accomplishments must be described in terms of households served, people served, etc.*)

PROPOSED ACCOMPLISHMENTS:

Total number of households to be served: 900
Total unduplicated individuals to be served: 1,075
Indicate the number of unduplicated adults to be served: 900
Indicate the number of unduplicated children to be served: 175

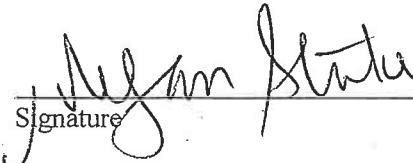
Prior ESG (if previous grantee) accomplishments: YES NO
Total number of households to be served: 895
Total unduplicated individuals to be served: 1,059
Indicate the number of unduplicated adults to be served: 895
Indicate the number of unduplicated children to be served: 164

E. Attach 501 (C) 3 status letter (must be IRS letter) (att. 2)

F. Attach Certificate of Good Standing (must be copy of current certificate from Kansas Secretary of State) (att. 3)

G. Attach Certification of Local Unit of Government Approval Statement and Signature Form. (att. 4)

Agency Certification: To the best of my knowledge and belief, the data in this agency's portion of this ESG application are true and correct.


Signature
Executive Director
Title

4.26.19
Date

SECTION V: ESG ACTIVITY FUNDING

Please provide details on EACH activity your agency will provide if funded.

A. Street Outreach

| Street Outreach | Amount Requested |
|----------------------------------|-------------------------|
| Engagement Activities | |
| Case Management | |
| Emergency Health Services | |
| Emergency Mental Health Services | |
| Transportation | |
| TOTAL | |

Street Outreach: Please provide a *detailed description* of your program and service delivery.

B. Emergency Shelter

| Emergency Shelter | Amount Requested |
|--|--------------------|
| Essential Services | |
| Renovation Activities | |
| Shelter Operations | \$60,000.00 |
| Vouchers (Hotel or Motel where ES unavailable) | |
| TOTAL | \$60,000.00 |

Emergency Shelter: Please provide a *detailed description* of your program and service delivery

The Willow Domestic Violence Center operates a shelter for adults and their minor children fleeing domestic violence. The shelter is operated 24/7, 365 days per year. From July 1, 2018 to June 30, 2019, The Willow served 175 adults and 140 children in its confidential shelter home. Agency-wide, a total of 895 adults and 164 children were served with peer counseling, safety planning, housing assistance, employment support, court advocacy, and relocation assistance.

In 2017, the most recent year with available KBI data, there were 5 domestic violence-related homicides in The Willow’s service area as well as nearly 900 protection from abuse and protection from stalking orders filed. Victim services for community members experiencing intimate partner violence are critical and life-saving, and it is clear that there is a great need for them.

The Willow provides survivors of violence with a safe, comfortable shelter in which to heal and plan their next steps, nutritious food, support groups, and help navigating complex systems like the courts. In addition, The Willow operates a 24-hour crisis hotline that is answered 365 days per year. The hotline is housed in the emergency shelter, and no crisis call goes unanswered. This is often the first point of contact for survivors who want to enter the shelter, or meet in person with an advocate to safety plan or receive other vitally important services.

The Willow serves all survivors regardless of gender identity, sexuality, race, ethnicity, religion, or ability level. All services are free and confidential.

C. Homeless Prevention

| Homeless Prevention | Amount Requested |
|--|-------------------------|
| Rental Assistance (Short-Term / Medium-Term) | |
| Utility Assistance | |
| Rental Arrears (1-time payments of up to 6 months) | |
| Security Deposits (up to 2 months) | |
| Moving Costs | |
| Services Costs | |
| | |

Homeless Prevention: Please provide a *detailed description* of your program and service delivery

D. Rapid Re-Housing

| Rapid Re-Housing | Amount Requested |
|--|-------------------------|
| Rental Assistance (Short-Term / Medium-Term) | |
| Utility Assistance | |
| Rental Arrears (1-time payments of up to 6 months) | |
| Security Deposits (up to 2 months) | |
| Moving Costs | |
| Services Costs | |
| | |

Rapid Re-Housing: Please provide a *detailed description* of your program and service delivery

E. HMIS

| HMIS – up to 1.5% of the total ESG funds requested | Amount Requested |
|---|-------------------------|
| Hardware / Software | |
| Equipment Costs | |
| Data Entry / Analysis | |
| Data Quality | |
| Training | |
| Reporting | |
| TOTAL | |

HMIS: Please provide a detailed description of your program and service delivery

F. MATCH REQUIREMENTS

ESG requires a 100% match. The sub recipient must make matching contributions to supplement the ESG program in an amount that equals the amount of ESG funds provided by KHRC. The sub recipient must identify the source of match at the time of applying for ESG.

Matching contributions may be obtained from any source, including any federal source other than the ESG program, as well as state, local, and private sources. However, the following requirements apply to matching contributions from a federal source of funds:

- The sub recipient must ensure the laws governing any funds to be used as matching contributions do not prohibit those funds from being used to match Emergency Solutions Grant (ESG) funds.
- If ESG funds are used to satisfy the matching requirements of another federal program, then funding from that program may not be used to satisfy the matching requirements under this section.

The sub recipient may count as match the value specified in 2 CFR 200.306(d) for any building the recipient or subrecipient donates for long-term use in the recipient's ESG program, provided that depreciation on the building is not counted as match or charged to any Federal award. If a third party donates a building to the recipient or subrecipient, the recipient may count as match either depreciation of the building and fair rental charges for the land for each year the building is used for the recipient's ESG program or, if the building is donated for long-term use in the recipient's ESG program, the fair market value of the capital assets, as specified in 2 CFR 200.306(h)(2), (i), and (j). To qualify as a donation for long-term use, the donation must be evidenced by a recorded deed or use restriction that is effective for at least 10 years after the donation date. If the donated building is renovated with ESG funds, the minimum period of use under §576.102(c) may increase the period for which the building must be used in the recipient's ESG program.

(d) *Eligible types of matching contributions.* The matching requirement may be met by one or both of the following:

(1) Cash contributions. Cash expended for allowable costs, as defined in OMB Circulars A-87 (2 CFR part 225) and A-122 (2 CFR part 230), of the recipient or subrecipient.

(2) Noncash contributions. The value of any real property, equipment, goods, or services contributed to the recipient's or subrecipient's ESG program, provided that if the recipient or subrecipient had to pay for them with grant funds, the costs would have been allowable. Noncash contributions may also include the purchase value of any donated building.

(e) *Calculating the amount of noncash contributions.* (1) To determine the value of any donated material or building, or of any lease, the recipient must use a method reasonably calculated to establish the fair market value.

(2) Services provided by individuals must be valued at rates consistent with those ordinarily paid for similar work in the recipient's or subrecipient's organization. If the recipient or subrecipient does not have employees performing similar work, the rates must be consistent with those ordinarily paid by other employers for similar work in the same labor market.

(3) Some noncash contributions are real property, equipment, goods, or services that, if the recipient or subrecipient had to pay for them with grant funds, the payments would have been indirect costs. Matching credit for these contributions must be given only if the recipient or subrecipient has established, along with its regular indirect cost rate, a special rate for allocating to individual projects or programs the value of those contributions.

(f) *Costs paid by program income.* Costs paid by program income shall count toward meeting the recipient's matching requirements, provided the costs are eligible ESG costs that supplement the recipient's ESG program.

Match Certification form (att. 5)

| | | |
|--|--|---|
| Sub Recipient Agency: The Willow Domestic Violence Center | Sub Recipient Agency DUNS #: 033362626 | |
| Address: 1920 Moodie Rd. | City/State/Zip: Lawrence, KS 66046 | |
| Executive Director: Megan Stuke | Executive Director Email: mstuke@willowdvcenter.org | Executive Director Phone: 785-331-2034 x 106 |

MATCH CERTIFICATION:

- The ESG applicant completing this Match Certification has verified the eligibility of the match item(s) to which this certification relates;
- The ESG applicant has reviewed the Federal Guidelines regarding the match requirement **(24 CFR 576.201 and 2 CFR 200.306)**
- The ESG applicant has verified that the funds used to Match the ESG Program are not being used to match any other grant;
- The ESG applicant has / will collect valid documentation of Match for which this certification relates; and,
- The ESG applicant Executive Director has reviewed the Match documentation to which this Match Certification relates and has verified that all the representations made in this Match Certification are true and correct.

| Requested Activity | Amount Requested | Amount of Match | Match Description |
|---------------------|------------------|-----------------|-------------------|
| Street Outreach | | | |
| Emergency Shelter | \$60,000.00 | \$60,000.00 | See Attachment 5. |
| Homeless Prevention | | | |
| Rapid Re Housing | | | |
| HMIS | | | |
| Total | \$60,000.00 | \$60,000.00 | |

Signature: Megan Stuke

Date: 4.26.15

Title: Executive Director

SECTION VI: AGENCY CAPACITY

A. How long has your organization served homeless populations?

- 10 or more years
- 5-9 years
- Less than 5 years

B. Is your organization an active member of any of the following? Please check those that apply.

- Balance of State Continuum of Care:
- Regional/Local Planning Meetings
- State/Local Consolidated Plan Process
- Regional/Local Homeless Committees

1. Please provide documentation of membership to organizations (letter signed by organization chair). (att. 6)

C. How does sub recipient agency staff participate in these meetings/groups? Please describe level of involvement.

The Willow facilitates a Human Trafficking Coordinated Community Response (CCR) Team and a Court Advocacy CCR. The Human Trafficking CCR is facilitated by the Human Trafficking Program Coordinator, and the Court Advocacy CCR is facilitated by the Court Advocacy Program Coordinator. Additionally, the agency also actively participates in the Coalition for Homeless Concerns and the United Way of Douglas County Quarterly Partner Meetings.

D. Briefly describe the organization's board of director's fiscal oversight committee. How many members does it have, how often does it meet, and what are its responsibilities? Are there policies and procedures? If so, what entity is responsible for oversight?

The Board of Directors has 8-10 members with rolling terms. The entire Board and Sub-Committees meet monthly. Responsibilities include but are not limited to: financial status reviews, organizational development oversight, maintaining diversified funding, etc.

1. Attach List of Board Members for sub recipient agency (att. 7)

2. Attach an Organization Chart of sub recipient agency (att. 8)

E. What type of financial management system does the organization have? Describe the organization's system of checks and balances in its fiscal management. What is the division of responsibilities to ensure good fiscal oversight? Explain who maintains the organization's accounting records and if there is a software system utilized, please be specific as to the type and capabilities of the software or accounting system.

The Willow uses QuickBooks Pro Premiere Non-Profit Edition 2018 to manage the tracking of the agency's income and expenses. The Accounting Manager and Payroll Specialist are responsible for entering deposits, bill payment, and creating reports. The deposits, bills, and reports are reviewed by the Executive Director to ensure accuracy and budgeting, as well as by the Board of Directors Finance Team.

1. **Attach a copy of the agency's most recent audit. (att. 9)**
2. **Attach a completed W-9 Form for Sub recipient. (att. 10)**

F. Does your agency have pending civil or criminal proceedings filed or being processed currently or have been processed over the past three years? If the answer is "yes" an explanation must be provided with official documentation or court record that demonstrates the status of the issue:

No.

G. Provide an address and physical description of the shelter and/or service delivery site.
Domestic Violence providers provide only physical description:

The Willow Domestic Violence Center's safe shelter is in a secure, confidential location. It is a nine bedroom, four bathroom house with a shared kitchen, living room, playroom, and laundry facilities. There is a secure backyard with a playground, a large art room, and an on-site office for residents to meet with staff. The maximum shelter capacity is 28.

Additionally, there is an administrative office (1920 Moodie Rd.) where staff meets with clients living in the community.

H. Explain how your agency will identify and document homeless status of a client. Per 576.500 Recordkeeping and reporting requirements (b) Homeless Status:

All shelter residents and their children are victims of domestic violence fleeing unsafe homes. As such, each person staying in the shelter is homeless due to domestic violence.

I. Are there any current HUD findings against the agency? If yes, please explain:

No.

J. **Attach a copy of all program rules and policies. (att. 11)**

K. **Attach agency termination / grievance policy and procedures - Per 576.402 Terminating assistance. (att. 12)**

SECTION VII: PERFORMANCE OUTCOMES

A. Written Standards for Provision of ESG Assistance

1. Standard policies and procedures for evaluating individuals' and families' eligibility for assistance under Emergency Solutions Grant (ESG). The policies and procedures must be consistent with the recordkeeping requirements and definitions of "homeless" and "at-risk of homelessness" in the federal ESG regulations at: 24 CFR 576.2 and 24 CFR 576.500 (b-e).
2. Standards for targeting and providing essential services related to street outreach.
3. Policies and procedures for admission, diversion, referral, and discharge by emergency shelters assisted under ESG, including standards regarding length of stay, if any, and safeguards to meet the safety and shelter needs of special populations, (e.g., victims of domestic violence, dating violence, sexual assault, and stalking; and individuals and families who have the highest barriers to housing and are likely to be homeless the longest).
4. Policies and procedures for assessing, prioritizing, and reassessing individuals' and families' needs for essential services related to emergency shelter.
5. Policies and procedures for coordination among emergency shelter providers, essential services providers, homelessness prevention, and rapid re-housing assistance providers; other homeless assistance providers; and mainstream service and housing providers. The required coordination may be done over an area covered by the Continuum of Care or a larger area.
6. Policies and procedures for determining and prioritizing which eligible families and individuals will receive homelessness prevention assistance and which eligible families and individuals will receive rapid re-housing assistance. For homeless prevention, include the risk factors used to determine who would be most in need of this assistance to avoid becoming homeless.
7. Standards for determining what percentage or amount (if any) of rent and utilities costs each program participant must pay while receiving homelessness prevention or rapid re-housing assistance. If the assistance will be based on a percentage of the participant's income, specify this percentage, and how income will be calculated.
8. Standards for determining how long a particular program participant will be provided with rental assistance and whether and how the amount of that assistance will be adjusted over time. One-year lease required for project-based assistance. Annual participant evaluations required with rapid re-housing assistance; three-month evaluations required with homeless prevention assistance. Individual assistance cannot exceed 24 months in a three-year period.
9. Standards for determining the type, amount, and duration of housing stabilization and/or relocation services to provide to a program participant, including the limits, if any, on the homelessness prevention or rapid re-housing assistance that each program participant may receive, such as the maximum amount of assistance, maximum number of months the program participant may receive assistance, or the maximum number of times the program participant may receive assistance. Note: ESG regulations limit this assistance to no more than 24 months in a three-year period. Housing stability case management is limited as specified on pp. 75979-80 of the federal regulations.
10. *Participation in HMIS*. The recipient must ensure that data on all persons served and all activities assisted under ESG are entered into the applicable community-wide HMIS in the area in which those persons and activities are located, or a comparable database, in accordance with HUD's standards on participation, data collection, and reporting under a local HMIS.

Please certify you have established applicable Written Standards for ESG.

(Do not attach at this time, agencies will be required to submit standards before receiving an award). (att.13)

Name Megan Stuke Title Executive Director
 Signature Megan Stuke Date 4-26-19

B. ANTICIPATED PROJECT OUTCOMES

The chart below describes two significant outcome(s) for each activity funded by ESG. These outcomes will apply to all projects funded for the 2019 ESG period.

Outcomes: An outcome represents a specific result a program is intended to achieve. An outcome can also be defined as the specific objective of a specific program.

Performance Measurement: Performance measurement is generally defined as regular measurement of outcomes and results, which generates reliable data on the effectiveness and efficiency of programs.

Please certify you understand the performance outcomes and measurements for your ESG activities. (att. 14)

| ESG ACTIVITY | EXPECTED OUTCOME | PERFORMANCE MEASUREMENT | |
|--|--|---|--|
| Shelter | 75% of clients with more than 90 days in shelter exit to permanent destinations. | data quality report (submitted quarterly) | |
| Shelter | 75% of clients with less than 90 days in shelter exit to destination other than the streets. | data quality report (submitted quarterly) | |
| Street Outreach | 50% of clients will access housing (ES, TH, SH, PH or PSH) | data quality report (submitted quarterly) | |
| Street Outreach | 75% of clients will access Essential Services | Service Summary (submitted quarterly) | |
| Homeless Prevention | 75% of clients will maintain Permanent Housing for six (6) months. | Agency follow up procedure (submitted quarterly) | |
| Homeless Prevention | 75% of clients will access permanent housing | data quality report (submitted quarterly) | |
| Rapid Re Housing | 75% of clients will maintain Permanent Housing for six (6) months. | Agency Follow up procedure (submitted quarterly) | |
| Rapid Re Housing | 75% of clients will access permanent housing | data quality report (submitted quarterly) | |
| 1 st Quarter 7/01/18 – 9/30/18 Report due 10/20/ 18 | 2 nd Quarter 10/01/18 – 12/31/18 Report due 01/20/19 | 3 rd Quarter 01/01/19 – 3/31/19 Report due 4/20/19 | 4 th Quarter 4/01/19 – 6/30/19 Report due 7/20/19 |

Name Megan Stuke Title Executive Director
 Signature Megan Stuke Date 4.26.19

MINIMUM HABITABILITY STANDARDS FOR EMERGENCY SHELTERS: CHECKLIST

2019 ESG: (att. 15)

The Emergency Solutions Grants (ESG) Program Interim Rule establishes different habitability standards for emergency shelters and for permanent housing (the Rapid Re-housing and Homelessness Prevention components).

- **Emergency Shelter Standards.**
 - Emergency shelters that receive ESG funds for renovation or shelter operations must meet the minimum standards for safety, sanitation, and privacy provided in §576.403(b).
 - In addition, emergency shelters that receive ESG funds for renovation (conversion, major rehabilitation, or other renovation) also must meet state or local government safety and sanitation standards, as applicable.
- **Permanent Housing Standards.** The recipient or subrecipient cannot use ESG funds to help a program participant remain in or move into housing that does not meet the minimum habitability standards under §576.403(c). This restriction applies to all activities under the Homelessness Prevention and Rapid Re-housing components.

Recipients and subrecipients must document compliance with the applicable standards. Note that these checklists do not cover the requirements to comply with the Lead-Based Paint requirements at §576.403(a). For more discussion about how and when the standards apply, see *ESG Minimum Standards for Emergency Shelters and Permanent Housing*, located at <http://OneCPD.info/esg>.

The checklists below offer an optional format for documenting compliance with the appropriate standards. These are intended to:

1. Provide a clear summary of the requirements and an adaptable tool so recipients and subrecipients can formally assess their compliance with HUD requirements, identify and carry out corrective actions, and better prepare for monitoring visits by HUD staff.
2. Provide a tool for a recipient to monitor that its subrecipient is in compliance with HUD requirements. Where non-compliance is identified, the ESG recipient can use this information to require or assist the subrecipient to make necessary changes.

Prior to beginning the review, the subrecipient should organize relevant files and documents to help facilitate their review. For instance, this may include local or state inspection reports (fire-safety, food preparation, building/occupancy, etc.), or policy and procedure documents related to emergency shelter facility maintenance or renovations.

Carefully read each statement and indicate the shelter's or unit's status for each requirement (Approved or Deficient). Add any comments and corrective actions needed in the appropriate box. The reviewer should complete the information about the project, and sign and date the form. This template includes space for an "approving official," if the recipient or subrecipient has designated another authority to approve the review. When the assessment is complete, review it with program staff and develop an action plan for addressing any areas requiring corrective action.

MINIMUM STANDARDS FOR EMERGENCY SHELTERS

Instructions: Place a check mark in the correct column to indicate whether the property is approved or deficient with respect to each standard. A copy of this checklist should be placed in the shelter's files.

| Approved | Deficient | Standard (24 CFR part 576.403(b)) |
|----------|-----------|--|
| X | | 1. <i>Structure and materials:</i> a. The shelter building is structurally sound to protect the residents from the elements and not pose any threat to the health and safety of the residents. b. Any renovation (including major rehabilitation and conversion) carried out with ESG assistance uses Energy Star and Water Sense products and appliances. |
| X | | 2. <i>Access.</i> Where applicable, the shelter is accessible in accordance with: a. Section 504 of the Rehabilitation Act (29 U.S.C. 794) and implementing regulations at 24 CFR part 8; b. The Fair Housing Act (42 U.S.C. 3601 et seq.) and implementing regulations at 24 CFR part 100; and c. Title II of the Americans with Disabilities Act (42 U.S.C. 12131 et seq.) and 28 CFR part 35. |
| X | | 3. <i>Space and security:</i> Except where the shelter is intended for day use only, the shelter provides each program participant in the shelter with an acceptable place to sleep and adequate space and security for themselves and their belongings. |
| X | | 4. <i>Interior air quality:</i> Each room or space within the shelter has a natural or mechanical means of ventilation. The interior air is free of pollutants at a level that might threaten or harm the health of residents. |
| X | | 5. <i>Water Supply:</i> The shelter's water supply is free of contamination. |
| X | | 6. <i>Sanitary Facilities:</i> Each program participant in the shelter has access to sanitary facilities that are in proper operating condition, are private, and are adequate for personal cleanliness and the disposal of human waste. |
| X | | 7. <i>Thermal environment:</i> The shelter has any necessary heating/cooling facilities in proper operating condition. |
| X | | 8. <i>Illumination and electricity:</i> a. The shelter has adequate natural or artificial illumination to permit normal indoor activities and support health and safety. b. There are sufficient electrical sources to permit the safe use of electrical appliances in the shelter. |
| X | | 9. <i>Food preparation:</i> Food preparation areas, if any, contain suitable space and equipment to store, prepare, and serve food in a safe and sanitary manner. |
| X | | 10. <i>Sanitary conditions:</i> The shelter is maintained in a sanitary condition. |
| X | | 11. <i>Fire safety:</i> a. There is at least one working smoke detector in each occupied unit of the shelter. Where possible, smoke detectors are located near sleeping areas. b. All public areas of the shelter have at least one working smoke detector. c. The fire alarm system is designed for hearing-impaired residents. d. There is a second means of exiting the building in the event of fire or other emergency. |
| X | | 12. If ESG funds were used for renovation or conversion, the shelter meets state or local government safety and sanitation standards, as applicable. |
| X | | 13. Meets additional recipient/subrecipient standards (if any). |

CERTIFICATION STATEMENT

I certify that I have evaluated the property located at the address below to the best of my ability and find the following:

- Property meets all of the above standards.
- Property does not meet all of the above standards.

COMMENTS:

ESG Recipient Name: Kansas Housing Resources Corporation

ESG Subrecipient Name (if applicable): The Willow Domestic Violence Center

Emergency Shelter Name: The Willow Safe Shelter Home

Street Address: CONFIDENTIAL LOCATION (Admin Office: 1920 Moodie Rd.)

City: Lawrence State: KS Zip: 66046

Evaluator Signature: Megan Strike Date of review: 4.29.19

Evaluator Name: Megan Strike

Approving Official Signature (if applicable): _____ Date: _____

Approving Official Name (if applicable): _____

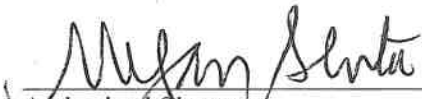
CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

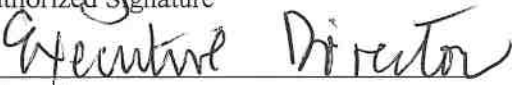
The undersigned certifies to the best of his or her knowledge and belief that:

- a. No federal appropriated funds have been paid or will be paid by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or any employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of an cooperative agreement and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan or cooperative agreement.
- b. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan or cooperative agreement, the undersigned shall complete and submit Standard Form III "Disclosure Form to Report Lobbying", in accordance with its instructions.
- c. The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans and cooperative agreements), and that all sub-recipients shall certify and disclose accordingly.


This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352 title 31 U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.



Authorized Signature



Title



Date

STATEMENT REGARDING DISCRIMINATION

The applicant agrees and warrants that in the performance of an executed third party contract with the state that it will not discriminate or permit discrimination against religious creed, age, marital status, national origin, sex, mental retardation or physical disability including, but not limited to, blindness, unless it can be shown by the applicant that such disability prevents performance of work involved in any manner prohibited by the laws of the United States or of the State of Kansas, and the applicant further agrees to provide the Commission on Equal Opportunities with such information requested by the Commission concerning the employment as they relate to the provisions of this section.

I, Megan Stula (the duly authorized representative of the applicant) do hereby certify that all the facts, figures and representations made in this application are true and correct, to the best of my knowledge and belief.

Megan Stula
Authorized Signature

Executive Director
Title

4.26.19
Date

STATEMENT ASSURING COMPLIANCE WITH APPLICABLE ENVIRONMENTAL REGULATIONS

The use of federal funds triggers the requirement of compliance with federal environmental regulations developed by the Department of Housing and Urban Development (HUD). These regulations, contained in 24 CFR Part 50 and Part 58 "Environmental Review Procedures for Recipients Assuming HUD Responsibilities", require compliance with the National Environmental Policy Act (NEPA), as well as several related federal laws, regulations and Executive Orders. In order to ensure compliance with these environmental regulations, the state shall require a complete description of all physical work to be undertaken, including specifications and drawings where applicable. This information is necessary to ensure that all environmental reviews and paperwork can be completed by the state in order to satisfy HUD requirements. No funds will be released, or vouchers paid for physical activities unless environmental clearance has been obtained from HUD. NO construction activities may occur without formal notification from the City that the release of environmental conditions has occurred.

All physical projects must comply, where applicable, with environmental requirements, guidelines and statutory obligations in the following areas, as outlined in 24 CFR Parts 50 and 58, Historic Properties Protection; Flood plain Management and Wetland Protection; Coastal Zone Management Requirements; Sole Source Aquifer Protection; Wildlife, Endangered Species, and Wild and Scenic River Protection; Water Quality Regulations; Air Quality; Solid Waste Management Regulations; Farmland Protection; and other HUD Environmental Standards.

All work plans will be reviewed to ensure compliance with applicable environmental standards. The following sections briefly outline the statutory compliance areas which will affect most physical activities undertaken with CDBG funds.

Historic Properties: All properties to be rehabilitated, renovated, demolished or physically changed in any manner will be reviewed to determine if they are contained on the State of Kansas Historic List. If a structure is contained on the Historic List, rehabilitation or renovation activities must adhere to the National Secretary of the Interior's Standards for Rehabilitation. Any proposed activity which does not adhere to the Secretary of the Interior's Standards must be reviewed by the Kansas State Historic Preservation Office (SHPO) prior to commencement of the activity. All requirements outlined by SHPO and, where necessary, the Advisory Council on Historic Preservation, must be met before funds can be released. These requirements also pertain to demolition activities.

Air Quality/Asbestos Abatement: Prior to any rehabilitation, demolition or heating and ventilation improvement activity, all areas to be disturbed must be inspected for the presence of asbestos containing materials (ACM's). If asbestos is found in areas to be disturbed, all asbestos-containing materials must be completely removed by a NESHAP certified asbestos removal contractor and disposed of in accordance with all local, state and federal laws and requirements prior to the commencement of any construction or demolition work. All records documenting compliance with local, state and federal laws and regulations must be presented to the state prior to the commencement of any construction activity to obtain the release of funds. If the inspection determines that no asbestos is present in the areas to be disturbed, a signed letter stating the date of inspection and the absence of asbestos must be presented to the state.

Lead-Based Paint Abatement: Prior to the rehabilitation of any residential structure or non-dwelling facility commonly used by children under seven years, all applicable surfaces of units constructed prior to 1978 shall be inspected to determine if lead-based paint surfaces exist. If defective lead-based painted surfaces are present, notification and abatement, in accordance with all local, state and federal laws and regulations must occur prior to the release of funds. If no lead-based paint is present, a signed letter, stating the date of inspection, the name of the inspector, and the absence of lead-based paint, must be presented to the state.

Other Applicable Statutory Requirements: If a property to be rehabilitated is located within a flood zone, wetland area or coastal zone, the proposed project must be reviewed to ensure consistency with applicable local, state and federal regulations. If the subject property is located in an airport clear zone or within an industrial/commercial area, the project must be reviewed to ensure that any potential site safety hazards are addressed.

To the best of your knowledge:

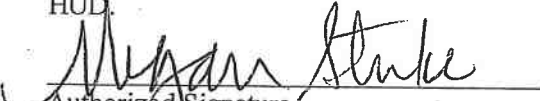
Does the proposed project area contain lead-based paint?

Yes No Unsure

Does the proposed project area contain asbestos containing materials?

Yes No Unsure

As the applicant, the undersigned assures the commitment to compliance with the environmental Regulations outlined by HUD.



Authorized Signature

Executive Director

Title

4.26.19

Date