

KDOT Competitive Funding Process

Overview

Beginning in fiscal year 2010, KDOT will allow all MPOs to carry over into 2011, an amount equal to 20% of their 2010 allocation or \$20,000, whichever is more. The excess funds that are remaining will enter into the Competitive Fund Pot. This funding pot will be available to all the MPO's to competitively compete for planning projects. These funds may be used for any CPG eligible planning activity and must be programmed along with the annual allocation in the UPWP.

Competitive Fund Request:

The Competitive Fund Process allows any MPO to apply for excess funds that were recaptured by KDOT and not awarded during the non-competitive round. The MPOs will be given an opportunity to submit formal requests to KDOT for use of these funds between **July 1st and July 31st each year**. Final decisions regarding the use of competitive funds will be made by KDOT by **September 1st each year**. Please note competitive funds can only be used for CPG planning eligible activities. Typically, competitive funds would require a cash match; however it has been expressed by several MPOs that it would be difficult to come up with cash match on such short notice. KDOT has decided the cash match requirement will be waived for this year only as both KDOT and the MPOs work through this process. MPOs should plan for using cash match for competitive funds in future years.

Guidelines for Requesting Competitive Funds

MPOs must have their 4th quarter billings submitted to KDOT in order to apply for excess funds. This will assist both the MPO and KDOT with determining fund availability and necessity. MPOs seeking competitive funds must submit the "Request for Competitive Funds" form (you may ask your KDOT MPO Transportation Planner for assistance in filling out this form) along with documentation that provides justification of the project. A Special Projects Agreement will be executed between KDOT and the MPO if the MPO is selected to receive competitive funds. Billings for the project should be submitted along with the regularly scheduled quarterly billings, but must be specifically identified as being a part of the Special Projects Agreement (KDOT will provide a project number that should be documented on the paperwork). In addition, if competitive funds are awarded to the MPO, it must be identified in the UPWP of the identified program year(s) as using "Competitive Funds" including the project description, activities, and schedule.

Project Evaluation

All Competitive Fund requests will be evaluated based on several criteria including the relevancy or necessity of the project to the MPO program, the MPO's ability to complete the project as evidenced from prior work and current staff expertise, status of core work elements, ability to provide cash match and the "planning principles" of the project as a whole (i.e., projects that enhance livability, sustainability, innovation, and interagency cooperation, etc...). It should be noted that project selection will not solely be based on the highest score received from the project scoring sheet. KDOT will also use other qualitative factors along with the project score to assist in the final determination.

Competitive Fund Request Evaluation Form

Project: _____

Reviewer _____ Date _____

A. Relevancy/ Necessity: (*Total points possible = 30*) _____

1. The MPO has completed all core work elements. _____
(10pts)
2. The proposed project is necessary to complete a core work element. _____
(8 pts)
3. The proposed project directly complements the MTP. _____
(7 pts)
4. The proposed project is an ancillary project to the MTP. _____
(5 pts)

B. Project Completion: (*Total points possible = 25*) _____

1. The project sponsor has the availability capacity in their work program to complete the project (8 pts) _____
2. The project sponsor has a strong history of completing projects on time. (7 pts) _____
3. The proposed project can be completed by the provided end date. _____
(5 pts)
4. The proposed project is a reasonable and pragmatic project. _____
(5 pts)

C. Provided Match: (*Total points possible = 15*) _____

1. The project sponsor is providing cash match. (15pts) _____
2. The project sponsor is providing partial cash match. (7pts) _____
3. The project sponsor has identified an eligible in-kind match source. (3 pts) _____

D. Planning Principles (*Total points possible = 40*) _____

1. The proposed project contains elements of **Sustainability/Livability** as described by the HUD-EPA-DOT Livability principles. (8 pts) _____
2. The proposed project promotes **Innovative** transportation ideas for the region. (8 pts) _____
3. The proposed project entails a comprehensive **Planning Process**. (8 pts) _____
4. The proposed project contains an **Implementation** strategy that will put the plan into action. (8 pts) _____
5. The proposed projects creates the opportunity for **Interagency Coordination/ Cooperation**. (8 pts) _____

TOTAL POINTS POSSIBLE: 120

TOTAL POINTS SCORED: _____

(Points assigned to each question represents the maximum available not the minimum.)